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# Existence of Good $\delta$ -Decodable Codes for the Two-User Multiple-Access Adder Channel

This paper defines a class of  $\delta$ -decodable codes for the two-user multiple-access adder channel with binary inputs. This class is a generalization of the class of two-user codes investigated by Kasami and Lin (1978). Lower bounds on the achievable rates of codes in this class are derived. We show that, for a wide range of error correcting capability, this class contains good two-user  $\delta$ -decodable codes with rates lying above the timesharing line.

#### 1. Introduction

Consider the multiple-access communication system shown in Fig. 1 in which two independent sources are attempting to transmit data to two users over a common channel. During a message interval, the two messages emanating from the two sources are encoded independently according to two binary block codes  $C_1$  and  $C_2$  of the same length n. The encoders and the decoder are assumed to maintain bit and word synchronization. The two code vectors emanating from the two encoders are combined by the channel into a single vector  $\mathbf{r}$  with symbols from a certain alphabet. The single decoder at the receiver decodes  $\mathbf{r}$  into two code words, one in  $C_1$  and the other in  $C_2$ , for the two users.

Block coding for the two memoryless multiple-access channels shown in Fig. 2 has been investigated by Kasami and Lin [1, 2], Tilborg [3], and Weldon [4]. The channel shown in Fig. 2(a) is called a noiseless adder channel. At any time, the input to the channel is a binary 2-tuple  $(a_1, a_2)$  with  $a_i$  chosen from the set  $\{0, 1\}$ ; the output b of the channel is the real sum of the two input bits  $a_1$  and  $a_2$ , i.e.,  $b = a_1 + a_2$  where + denotes real number addition. The two-dimensional capacity region of the noiseless two-user adder channel is shown in Fig. 3 [5-7]. The second channel model shown in Fig. 2(b) is also a two-user adder channel except noise is introduced. For this channel we say that a single transmission error has occurred if any of the following transitions occur: (1) from (0, 0) to 1; (2) from (1, 1) to 1; (3) from (0, 1) or (1, 0) to 0 or 2. We say

that two transmission errors occur if the transition is either from (0, 0) to 2 or from (1, 1) to 0. For both models of the two-user adder channel, the two code words transmitted from the two encoders are combined into a single vector  $\mathbf{r}$  with symbols from the alphabet  $\{0, 1, 2\}$ .

Let  $\mathbf{u} = (u_1, u_2, \dots, u_n)$  and  $\mathbf{v} = (v_1, v_2, \dots, v_n)$  be two n-tuples in  $\{0, 1\}^n$ . Then  $\mathbf{u} + \mathbf{v} = (u_1 + v_1, u_2 + v_2, \dots, u_n + v_n)$  is an n-tuple in  $\{0, 1, 2\}^n$ . Let  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  and  $\mathbf{y} = (y_1, y_2, \dots, y_n)$  be two n-tuples in  $\{0, 1, 2\}^n$ . Define the L-distance between  $\mathbf{x}$  and  $\mathbf{y}$ , denoted by  $d_{\mathbf{L}}(\mathbf{x}, \mathbf{y})$ , as follows:

$$d_{\mathrm{L}}(\mathbf{x},\,\mathbf{y}) = \sum_{i=1}^{n} |x_i - y_i|,$$

where – denotes real number subtraction and  $|x_i - y_i|$  denotes the absolute value of  $x_i - y_i$ .

Let  $C_1$  and  $C_2$  be two binary block codes of length n used for the noisy two-user adder channel. These two codes are referred to as a *two-user* code, denoted by  $(C_1, C_2)$ . A two-user code  $(C_1, C_2)$  is said to be  $\delta$ -decodable ( $\delta > 0$ ), if and only if, for any two distinct pairs  $(\mathbf{u}, \mathbf{v})$  and  $(\mathbf{u}', \mathbf{v}')$  in  $(C_1, C_2)$ ,  $d_L(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') \ge \delta$ . Kasami and Lin [1] showed that a two-user  $\delta$ -decodable code is capable of correcting  $\lfloor (\delta - 1)/2 \rfloor$  or fewer transmission errors in the noisy two-user adder channel where  $\lfloor (\delta - 1)/2 \rfloor$  denotes the greatest integer equal to or less than  $(\delta - 1)/2$ . Moreover, they proved that, if  $(C_1, C_2)$  is  $\delta$ -decodable, then the minimum Hamming distances of both  $C_1$  and  $C_2$  must be

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greater than or equal to  $\delta$ . Let  $R_1$  and  $R_2$  denote the rates of  $C_1$  and  $C_2$ , respectively. For a given  $\delta$  and a given code length n, it is desired to construct a two-user  $\delta$ -decodable code  $(C_1, C_2)$  with maximum achievable rates  $(R_1, R_2)$ .

Upper and lower bounds on the achievable rates of two-user  $\delta$ -decodable codes have been derived by Kasami and Lin [2] and Tilborg [3]. Kasami and Lin [2] also introduced a class of two-user  $\delta$ -decodable codes, and they showed that, for a certain range of  $\delta/n$ , their class contains good two-user  $\delta$ -decodable codes  $(C_1, C_2)$  with rates  $(R_1, R_2)$  lying above the time-sharing line, i.e.,  $R_1 + R_2 > 1$ .

In this paper, we extend Kasami and Lin's [2] results. We define a class of two-user  $\delta$ -decodable codes which contains the two-user  $\delta$ -decodable codes studied by Kasami and Lin as a subclass. Lower bounds on the achievable rates of two-user codes in this class are derived. We show that, for a wide range of  $\delta/n$ , there exist good two-user  $\delta$ -decodable codes in the class with rates  $(R_1, R_2)$  lying above the time-sharing line.

#### 2. A class of two-user $\delta$ -decodable codes

In this section, we define a class of two-user  $\delta$ -decodable codes for the noisy adder channel. In the following section, we show that this class contains good two-user  $\delta$ -decodable codes.

Let  $n_1$  be a positive integer which is divisible by 3. Let  $C_{10}$  be an  $(n_1, k_1)$  linear code with minimum distance greater than t. For simplicity, we assume that  $C_{10}$  is a systematic code with the generator matrix in the following form:

$$\mathbf{G}_{10} = [\mathbf{I}_{k}.\mathbf{P}_{10}],\tag{1}$$

where  $I_{k_1}$  is a  $k_1 \times k_1$  identity matrix and  $P_{10}$  is a  $k_1 \times (n_1 - k_1)$  matrix over GF(2), where GF is a Galois field. Now, form a  $(2n_1, k_1)$  linear code  $C_{11}$  by interleaving  $C_{10}$  as follows: a 0-digit in a code word of  $C_{10}$  is replaced by two 0-digits, and a 1-digit in a code word of  $C_{10}$  is replaced by two 1-digits. That is,  $C_{11}$  is obtained by interleaving  $C_{10}$  with a degree of 2. Clearly, the code  $C_{11}$  has minimum distance greater than or equal to 2t + 2. The generator matrix of  $C_{11}$  is of the following form:

$$\mathbf{G}_{11} = \begin{bmatrix} 110000 & \dots & 00 \\ 001100 & \dots & 00 \\ 00001100 & \dots & 00 \\ \dots & & & & \\ P_{11} \\ \vdots \\ 00000000 & \dots & 11 \end{bmatrix}, \qquad (2)$$

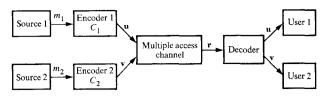


Figure 1 A multiple-access communication system with two users.

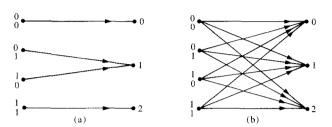


Figure 2 Two-user adder channel models. (a) Noiseless two-user adder channel; (b) noisy two-user adder channel.

where  $\mathbf{P}_{11}$  is a  $k_1 \times (2n_1 - 2k_1)$  matrix which is obtained from  $\mathbf{P}_{10}$  by repeating each column of  $\mathbf{P}_{10}$  twice, *i.e.*, the (2i-1)th and the 2*i*th columns of  $\mathbf{P}_{11}$  are identical to the *i*th column of  $\mathbf{P}_{10}$  for  $1 \le i \le n_1 - k_1$ .

Now, we consider a binary  $(2n_1 + n_2, k_1 + k_2)$  linear code  $C_1$  with the generator matrix in the following form:

$$\mathbf{G}_{1} = \begin{bmatrix} \mathbf{G}_{11} & & & & \\ \mathbf{O}_{k_{2} \times 2k_{1}} & & \mathbf{P}_{21} & & & \mathbf{I}_{k_{2}} & & \mathbf{P}_{22} \end{bmatrix}, \tag{3}$$

where  $G_{11}$  is the  $k_1 \times 2n_1$  matrix given by (2);  $O_{k_2 \times 2k_1}$  and  $O_{k_1 \times n_2}$  are two zero matrices;  $P_{21}$  is a  $k_2 \times (2n_1 - 2k_1)$  matrix over GF(2) such that the (2i - 1)th and the 2*i*th columns are identical for  $1 \le i \le n_1 - k_1$ ; and  $P_{22}$  is a  $k_2 \times (n_2 - k_2)$  matrix over GF(2).

Let  $R_{10}$  and  $R_{1}$  be the rates of  $C_{10}$  and  $C_{1}$ , respectively. Then, we have

$$R_1 = \frac{(1 + k_2/k_1)}{(2 + n_2/n_1)} R_{10}. \tag{4}$$

#### • Lemma I

If the parameters  $n_1$ ,  $n_2$ ,  $k_1$ ,  $k_2$ , and t satisfy the inequality

$$\sum_{0 \le 2i, +i_0 \le 2I} \binom{n_1}{i_1} \binom{n_2}{i_2} \le 2^{n_1 + n_2 - k_1 - k_2},\tag{5}$$

then there exists a  $(2n_1 + n_2, k_1 + k_2)$  linear code  $C_1$  with minimum distance greater than 2t that has a generator matrix of the form given by (3).

#### Proof

Since  $G_{11}$  is a fixed matrix and since the (2i - 1)th column and the 2*i*th column of  $P_{21}$  are alike for  $1 \le i \le n_1 - k_1$ , the total number of matrices of the form  $G_1$  is

$$2^{k_2(n_1+n_2-k_1-k_2)}. (6)$$

A code vector  $\mathbf{u}$  generated by  $\mathbf{G}_1$  has  $2n_1 + n_2$  components. The first  $2n_1$  components of  $\mathbf{u}$  can be divided into  $n_1$  blocks, with the *i*th block consisting of the (2i-1)th and the 2ith components of  $\mathbf{u}$  and each block being either (0,0) or (1,1). Let  $\Gamma$  denote those vectors of length  $2n_1 + n_2$  over GF(2) such that

- 1. The Hamming weight of each vector in  $\Gamma$  is 2t or less,
- 2. The first  $n_1$  blocks of a vector in  $\Gamma$  are chosen from the set  $\{(0, 0), (1, 1)\}$ .

Clearly,  $\Gamma$  contains the all-zero vector. The number of vectors in  $\Gamma$  is

$$|\Gamma| = \sum_{0 \le 2i_1 + i_2 \le 2t} \binom{n_1}{i_1} \binom{n_2}{i_2}. \tag{7}$$

The nonzero vectors in  $\Gamma$  can be classified into two types:

- 1. A type-1 vector, in which the  $k_2$  components from the  $(2n_1 + 1)$ th position to the  $(2n_1 + k_2)$ th position are not all zero.
- 2. A type-II vector, in which the  $k_2$  components from the  $(2n_1 + 1)$ th position to the  $(2n_1 + k_2)$ th position are all zero.

Since  $G_{11}$  generates a code with minimum weight greater than 2t, no nonzero vector in  $\Gamma$  can be a linear combination of the first  $k_1$  rows of  $G_1$ . Therefore, a type-I nonzero vector in  $\Gamma$  is either a linear combination of the last  $k_2$  rows of some  $G_1$  or a linear combination of the first  $k_1$  rows and the last  $k_2$  rows of some  $G_1$ . Since  $G_{11}$  is fixed, a type-I nonzero vector in  $\Gamma$  is in exactly

$$2^{(k_2-1)(n_1+n_2-k_1-k_2)}$$

codes generated by matrices of the form  $G_1$  given by (3) (use an argument similar to Peterson and Weldon ([8, Theorem 4.9, p. 92]). However, a type-II nonzero vector in  $\Gamma$  cannot be in any code generated by a matrix of the form  $G_1$ . Therefore, the number of matrices of the form  $G_1$  that generate codes containing nonzero vectors from  $\Gamma$  is upper bounded by

$$2^{(k_2-1)(n_1+n_2-k_1-k_2)}(|\Gamma|-1).$$

Hence, if

$$2^{(k_2-1)(n_1+n_2-k_1-k_2)}|\Gamma| \le 2^{k_2(n_1+n_2-k_1-k_2)}. \tag{8}$$

then there exists a  $(2n_1 + n_2, k_1 + k_2)$  linear code  $C_1$  with minimum distance greater than 2t that has a generator matrix  $G_1$  of the form given by (3). From (7) and (8), we obtain the inequality of (5).  $\square$ 

Now, choose  $C_1$  as a  $(2n_1 + n_2, k_1 + k_2)$  linear code with minimum distance greater than 2t and a generator matrix  $G_1$  of the form given by (3). For the next step, we want to define a code  $C_2$  of length  $2n_1 + n_2$  such that  $C_1$  and  $C_2$  form a two-user (2t + 1)-decodable code. Let  $C_{20}$  be the set of all those binary vectors of length  $2n_1 + n_2$  such that the first  $2n_1$  components consist of  $n_1/3$  (0, 0) blocks and  $2n_1/3$  blocks over  $\{(0, 1), (1, 0)\}$ , and the last  $n_2$  components are arbitrary binary digits. The size of  $C_{20}$  is

$$|C_{20}| = \binom{n_1}{n_1/3} \cdot 2^{2n_1/3} \cdot 2^{n_2}. \tag{9}$$

Next, let  $C_{12}$  be a  $(2n_1 + n_2, k_2)$  linear code whose generator matrix is

$$\mathbf{G}_{12} = [\mathbf{O}_{k_0 \times 2n_1} \mathbf{I}_{k_0} \mathbf{P}_{22}], \tag{10}$$

where  $\mathbf{O}_{k_2\times 2n_1}$  is a  $k_2\times 2n_1$  zero matrix,  $\mathbf{I}_{k_2}$  is a  $k_2\times k_2$  identity matrix, and  $\mathbf{P}_{22}$  is the  $k_2\times (n_2-k_2)$  matrix given in (3). For any binary vector  $\mathbf{v}$  of length  $2n_1+n_2$ , let  $w_1(\mathbf{v})$  and  $w_2(\mathbf{v})$  denote the Hamming weights of the first  $2n_1$  components and the last  $n_2$  components of  $\mathbf{v}$ , respectively. Now, we define  $C_2$  as follows: Let  $C_2$  be a maximal subset of  $C_{20}$ , such that, for any two different vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$  in  $C_2$ ,

$$w_1(\mathbf{v}_1 \oplus \mathbf{v}_2) + \min_{\mathbf{w} \in C_{-n}} w_2(\mathbf{v}_1 \oplus \mathbf{v}_2 \oplus \mathbf{w}) > 2t, \tag{11}$$

where  $\oplus$  denotes modulo-2 addition. It follows from (11) that the minimum Hamming distance of  $C_2$  is greater than 2t

So far, we have defined two codes  $C_1$  and  $C_2$  with minimum distances greater than 2t. Next, we want to show that  $(C_1, C_2)$  is a two-user (2t + 1)-decodable code. This is given in the following theorem.

## • Theorem 1

Let  $C_1$  be a  $(2n_1 + n_2, k_1 + k_2)$  linear code with minimum distance greater than 2t that is generated by a matrix  $G_1$  of the form given by (3). Let  $C_2$  be a code of length  $2n_1 + n_2$  defined by (11). Then  $(C_1, C_2)$  is a two-user (2t + 1)-decodable code.

## Proof

Let  $(\mathbf{u}, \mathbf{v})$  and  $(\mathbf{u}', \mathbf{v}')$  be two distinct pairs in  $(C_1, C_2)$ . Suppose that  $\mathbf{v} = \mathbf{v}'$ . Then  $\mathbf{u} \neq \mathbf{u}'$  and  $w(\mathbf{u} \oplus \mathbf{u}') > 2t$ . It follows from the definition of L-distance that

$$d_{\mathbf{r}}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') = w(u \oplus u') > 2t. \tag{12}$$

Suppose that  $v \neq v'$ . Define

$$\begin{split} d_{\mathrm{L}}^{(1)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') &= \sum_{j=1}^{2n_1} |(u_j + v_j) - (u'_j + v'_j)|, \\ d_{\mathrm{L}}^{(2)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') &= \sum_{j=2n_1+1}^{2n_1+n_2} |(u_j + v_j) - (u'_j + v'_j)|. \end{split}$$

Let us consider the first  $2n_1$  components of  $\mathbf{u}$ ,  $\mathbf{u}'$ ,  $\mathbf{v}$ , and  $\mathbf{v}'$ . We pointed out earlier that these  $2n_1$  components can be divided into  $n_1$  blocks, and the  $\ell$ th block consists of the  $(2\ell-1)$ th and the  $2\ell$ th components with  $1 \leq \ell \leq n_1$ . Due to the structure of  $C_1$ , the  $\ell$ th block of a vector in  $C_1$  is either (0,0) or (1,1). And due to the structure of  $C_2$ , the  $\ell$ th block of a vector in  $C_2$  is one of the three combinations (0,0), (0,1), and (1,0). Let  $\mathbf{u}(\ell)$ ,  $\mathbf{u}'(\ell)$ ,  $\mathbf{v}(\ell)$ , and  $\mathbf{v}'(\ell)$  denote the  $\ell$ th blocks of  $\mathbf{u}$ ,  $\mathbf{u}'$ ,  $\mathbf{v}$ , and  $\mathbf{v}'$ , respectively, for  $1 \leq \ell \leq n_1$ . If we compute the L-distance between  $\mathbf{u}(\ell) + \mathbf{v}(\ell)$  and  $\mathbf{u}'(\ell) + \mathbf{v}'(\ell)$  for all the possible combinations of  $\mathbf{u}(\ell)$ ,  $\mathbf{u}'(\ell)$ ,  $\mathbf{v}(\ell)$ , and  $\mathbf{v}'(\ell)$ , we can show that, for  $1 \leq \ell \leq n_1$ ,

$$d_{\mathbf{l}}[\mathbf{u}(\ell) + \mathbf{v}(\ell), \mathbf{u}'(\ell) + \mathbf{v}'(\ell)] \ge w[\mathbf{v}(\ell) \oplus \mathbf{v}'(\ell)],$$

where  $w[\mathbf{v}(\ell) \oplus \mathbf{v}'(\ell)]$  is the Hamming weight of  $\mathbf{v}(\ell) \oplus \mathbf{v}'(\ell)$ . Therefore, we have

$$d_{L}^{(1)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') = \sum_{\ell=1}^{n_{1}} d_{L}[\mathbf{u}(\ell) + \mathbf{v}(\ell), \mathbf{u}'(\ell) + \mathbf{v}'(\ell)]$$

$$\geq \sum_{\ell=1}^{n_{1}} w[\mathbf{v}(\ell) \oplus \mathbf{v}'(\ell)]$$

$$= w_{1}(\mathbf{v} \oplus \mathbf{v}'). \tag{13}$$

By the definition of L-distance, we have

$$d_{\mathrm{L}}^{(2)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') \ge w_{2}(\mathbf{v} \oplus \mathbf{v}' \oplus \mathbf{u} \oplus \mathbf{u}')$$

$$\ge \min_{\mathbf{w} \in C_{12}} w_{2}(\mathbf{v} \oplus \mathbf{v}' \oplus \mathbf{w}). \tag{14}$$

(Note that the last  $n_2$  components of  $\mathbf{u} \oplus \mathbf{u}'$  are identical to the last  $n_2$  components of a certain vector  $\mathbf{w}$  in  $C_{12}$ .) It follows from (11), (13), and (14) that, for  $\mathbf{v} \neq \mathbf{v}'$ , we have

$$d_{L}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}') = d_{L}^{(1)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}')$$

$$+ d_{L}^{(2)}(\mathbf{u} + \mathbf{v}, \mathbf{u}' + \mathbf{v}')$$

$$\geq w_{1}(\mathbf{v} \oplus \mathbf{v}') + \min_{\mathbf{w} \in C_{12}} w_{2}(\mathbf{v} \oplus \mathbf{v}' \oplus \mathbf{w})$$

$$> 2t. \tag{15}$$

From (12) and (15), we conclude that  $(C_1, C_2)$  is (2t + 1)-decodable.  $\square$ 

Next, we need to determine the size of  $C_2$  defined by (11). For this purpose, we define the following set: For a vector  $\mathbf{v}$  in  $C_{20}$ , let

$$C_{20}(\mathbf{v}) = \{ \mathbf{x} \mid \mathbf{x} \in C_{20} \text{ and } w_1(\mathbf{x} \oplus \mathbf{v})$$

$$+ \min_{\mathbf{w} \in C_{12}} w_2(\mathbf{x} \oplus \mathbf{v} \oplus \mathbf{w}) \le 2t \}.$$

$$(16)$$

Define

$$\left|C_{20}(\mathbf{v})\right|_{\max} = \max_{\mathbf{v} \in C_{20}} \ \left|C_{20}(\mathbf{v})\right|.$$

Then, the number of vectors in  $C_2$  is

$$|C_2| \ge \frac{|C_{20}|}{|C_{20}(\mathbf{v})|_{\text{max}}} \ .$$
 (17)

It can be shown that

 $|C_{20}(\mathbf{v})|_{\text{max}}$ 

$$\leq \sum_{0 \leq 2t_1 + t_2 \leq 2t} \left\{ \sum_{s=0}^{t_1} {2n_1/3 \choose s} \sum_{j_1=0}^{s} {n_1/3 \choose j_1} {s \choose j_1} \right\} \cdot \left\{ \sum_{i=0}^{t_2} {n_2 \choose i} 2^{k_2} \right\}. \tag{18}$$

The derivation of (18) is given in Appendix A. From (9), (17), and (18), we obtain a lower bound on the number of vectors in  $C_2$ .

In this section, we have defined a class of two-user  $\delta$ -decodable codes. In the next section, we show that this class contains efficient two-user  $\delta$ -decodable codes. For  $n_2 = 0$  and  $k_2 = 0$ , the two-user  $\delta$ -decodable code presented here reduces to a two-user  $\delta$ -decodable code introduced by Kasami and Lin [2].

The requirement that  $n_1$  be divisible by 3 is not necessary. If  $n_1$  is not divisible by 3, we use  $\lceil n_1/3 \rceil$  (which denotes the least integer greater than or equal to  $n_1/3$ ) and  $\lfloor 2n_1/3 \rfloor$  to replace  $n_1/3$  and  $2n_1/3$  in (9) and (18). The results will be the same.

#### 3. Lower bounds on the achievable rates

In Section 2, we defined a class of two-user  $\delta$ -decodable codes. For each two-user code  $(C_1, C_2)$  in this class,  $C_1$  is a linear code with a generator matrix of the form given by (3) and  $C_2$  is defined by (11). In this section, we derive lower bounds on the achievable rate of  $C_2$  for various ranges of  $\delta$ . We show that, for arbitrarily large code length, there exist good two-user  $\delta$ -decodable codes with rates lying above the time-sharing line.

It was proved by Gilbert [9] and Varsharmov [10] that for arbitrarily large  $n_1$ , there exists a binary  $(n_1, k_1)$  linear code with minimum distance greater than t for which the following inequality holds:

$$\frac{k_1}{n_1} \ge 1 - H(t/n_1),$$

where  $H(x) = -x \log_2 x - (1 - x) \log_2 (1 - x)$ . This bound on code rate is referred to as the Gilbert-Varshar-mov bound. In our construction of code  $C_1$ , we start with choosing an  $(n_1, k_1)$  linear code  $C_{10}$  with minimum distance greater than t; next we interleave  $C_{10}$  by degree 2 to obtain a  $(2n_1, k_1)$  code  $C_{11}$ ; and then we form  $C_1$  with minimum distance greater than 2t and a generator matrix of the form given by (3). The existence of  $C_1$  is guaranteed if its parameters satisfy the inequality given in Lemma 1.

**Table 1** Upper bound on  $R_i(\phi)$  [11].

$\phi = 2t/n$	$B(\phi)$	
0.00	1,000	
0.01	0.954	
0.02	0.918	
0.03	0.885	
0.04	0.854	
0.05	0.825	
0.06	0.797	
0.08	0.744	
0.10	0.693	
0.12	0.644	

Now, we choose  $C_{10}$  as an  $(n_1, k_1)$  linear code with arbitrarily large  $n_1$  and minimum distance greater than t which meets the Gilbert-Varsharmov bound, *i.e.*,

$$R_{10} = \frac{k_1}{n_1} \ge 1 - H(t/n_1). \tag{19}$$

With this choice, the rate of  $C_{11}$  is

$$R_{11} \ge \frac{1}{2} [1 - H(t/n_1)].$$

Based on the chosen code  $C_{10}$ , we form a  $(2n_1 + n_2, k_1 + k_2)$  linear code  $C_1$  with minimum distance greater than 2t and a generator matrix  $G_1$  of the form given by (3). Let

$$n = 2n_1 + n_2$$
,  $a = 2n_1/n$ ,  $b = n_2/n$ ,  $\phi = 2t/n$ .

It follows from Lemma 1 that such a code  $C_1$  exists if

$$R_{1} = \frac{k_{1} + k_{2}}{n} \le 1 - \frac{a}{2} \left[ 1 + H\left(\frac{1}{z_{1}^{2} + 1}\right) + \frac{2b}{a} H\left(\frac{1}{z_{1} + 1}\right) \right] - o(1), \tag{20}$$

where (a)  $z_1 \ge 1$  and is a root of

$$g(z) = z^3 + \left(1 - \frac{b}{\phi}\right)z^2 + \left(1 - \frac{a}{\phi}\right)z + 1 - \frac{1}{\phi}$$

and (b) o(1) approaches zero as n becomes large.

The derivation of (20) is given in Appendix B. From (4) and (19), we have

$$R_1 \ge \frac{a}{2} (1 + k_2/k_1)[1 - H(\phi/a)],$$
 (21)

where equality holds for  $\phi = 0$ .

Based on  $C_1$  with rates satisfying (20) and (21), we define  $C_2$  as given by (11). It follows from Theorem 1 that  $(C_1, C_2)$  is a two-user (2t + 1)-decodable code which is

capable of correcting t or fewer transmission errors over the noisy adder channel. It follows from (17) that the rate  $R_2$  of  $C_2$  satisfies the following bound:

$$R_2 = \frac{1}{n} \log_2 |C_2| \ge \frac{1}{n} \{ \log_2 |C_{20}| - \log_2 |C_{20}(\mathbf{v})|_{\text{max}} \}. \quad (22)$$

From (9), (18), and (22), we obtain the following lower bounds on  $R_2$  for large n and various ranges of  $\phi = 2t/n$  (derivations are given in Appendix C):

(a) For 
$$0 \le \phi \le \frac{a}{3} + \frac{b}{1 + \sqrt{2}}$$
,

$$R_{2} \ge \frac{a}{2} \left[ \log_{2} 6 - \overline{H} \left( \frac{\phi}{a} \right) - \frac{2}{3} H \left( \frac{\rho}{2} \right) - \frac{1}{3} (1 + \rho) H \left( \frac{1}{1 + \rho} \right) \right] + b [1 - H(\sigma)] - R_{1} + o(1); \tag{23}$$

(b) For 
$$\frac{a}{3} + \frac{b}{1 + \sqrt{2}} < \phi \le \frac{a}{3} + \frac{b}{2}$$
,

$$R_{2} \ge \frac{a}{2} \left[ \log_{2} 3 - \frac{1}{3} - \overline{H} \left( \frac{\phi}{a} \right) \right]$$

$$+ b \left[ 1 - H \left( \frac{3\phi - a}{3b} \right) \right] - R_{1} + o(1); \tag{24}$$

(c) For 
$$\phi > \frac{a}{3} + \frac{b}{2}$$
,

$$R_2 \ge \frac{a}{2} \left[ \log_2 3 - \frac{1}{3} - \overline{H} \left( \frac{\phi}{a} \right) \right] - R_1, \tag{25}$$

where

1. 
$$\overline{H}(x) = H(x)$$
 for  $0 \le x \le \frac{1}{2}$  and

$$\overline{H}(x) = 1 \text{ for } \frac{1}{2} < x \le 1;$$

2. 
$$\rho = \frac{4}{\sqrt{8z_2^2 + 9} - 1}$$
 and  $\sigma = \frac{1}{z_2 + 1}$  with  $z_2 > 1$ 

and as a root of

$$\frac{4a}{3(\sqrt{8z^2+9}-1)} + \frac{b}{z+1} = \phi.$$
 (26)

For various values of  $\phi$  and  $0 \le a, b \le 1$  with a+b=1, the above lower bounds on the achievable rates  $(R_1, R_2)$  of two-user  $\delta$ -decodable codes defined in Section 2 are plotted in Fig. 3  $[R_1]$  must satisfy the constraint of (20)]. Each line corresponds to a specific value of  $\phi = 2t/n$ . The high-end point of each line corresponds to a=1 and b=0, and the low-end point corresponds to a=0 and b=1.

For  $0 \le \phi \le 0.035$  and for various values of a and b, the class of two-user  $\delta$ -decodable codes defined in Section 2 contains codes  $(C_1, C_2)$  with rate pairs  $(R_1, R_2)$  lying above the time-sharing line, i.e.,  $R_1 + R_2 > 1$ .

It has been proved by Kasami and Lin [2] that, for  $(C_1, C_2)$  to be a two-user (2t+1)-decodable code, the minimum Hamming distances of the component codes  $C_1$  and  $C_2$  must be greater than or equal to 2t+1. Therefore, for any given  $\phi=2t/n$ , the rates  $R_1(\phi)$  and  $R_2(\phi)$  of  $C_1$  and  $C_2$  must satisfy the upper bound  $B(\phi)$  derived by McEliece et al. [11], i.e.,

$$R_i(\phi) \leq B(\phi)$$

for i=1 or 2. For various  $\phi$ , the values of  $B(\phi)$  are given in Table 1. If the code words from the encoders are transmitted by using the time-sharing scheme, the total transmission rate of the system is no greater than  $B(\phi)$ . For various  $\phi$ , time-sharing lines based on the McEliece et al. upper bound  $B(\phi)$  are plotted in Fig. 4. We see that, for any  $\phi < 0.095$ , there exist two-user (2t+1)-decodable codes  $(C_1, C_2)$  with rates  $[R_1(\phi), R_2(\phi)]$  lying above the time-sharing line corresponding to the same  $\phi$ , i.e.,  $R_1(\phi) + R_2(\phi) > B(\phi)$ . This indicates that the class of two-user (2t+1)-decodable codes defined in Section 2 contains good two-user (2t+1)-decodable codes.

Consider the special case where a=1, b=0, and  $\phi \le 1/3$ . For this case, the code  $C_1$  is simply obtained by interleaving  $C_{10}$  with a degree of two, *i.e.*,  $C_1 = C_{11}$ . It follows from (20) and (21) that we have

$$[1 - H(\phi)]/2 \le R_1 \le \frac{1}{2}$$
.

From (26), we have  $\rho = 3\phi$ . Thus, the bound on  $R_2$  given by (23) reduces to

$$R_{2} \ge \frac{1}{2} \left[ \log_{2} 6 - H(\phi) - \frac{2}{3} H\left(\frac{3}{2} \phi\right) - \frac{1}{3} (1 + 3\phi) \cdot H\left(\frac{1}{1 + 3\phi}\right) \right] - R_{1} + o(1). \tag{27}$$

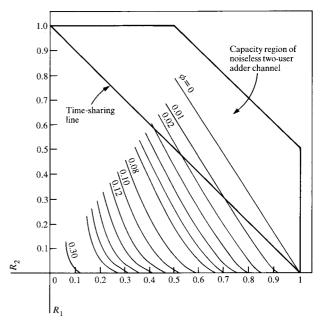
From (27), we obtain

$$\begin{split} R_1 + R_2 &\geq 1.2925 - \frac{1}{2} H(\phi) - \frac{1}{3} H\left(\frac{3\phi}{2}\right) \\ &- \frac{1}{6} (1 + 3\phi) H\left(\frac{1}{1 + 3\phi}\right) + o(1). \end{split}$$

This special case was first investigated by Kasami and Lin [2].

## Appendix A: Derivation of (18)

For convenience, we repeat the definition of  $C_{20}({\bf v})$  here: For a vector  ${\bf v}$  in  $C_{20}$ , let



**Figure 3** Lower bounds on the achievable rates of (2t + 1)-decodable code pairs for various values of  $\phi = (2t + 1)/n$ . The high-end point for each line corresponds to a = 1 and b = 0, and the low-end point of each line corresponds to a = 0 and b = 1.

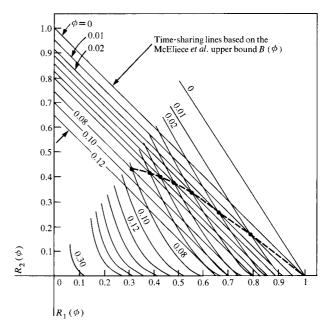


Figure 4 Comparison between the lower bounds on the achievable rates of (2t + 1)-decodable code pairs and the time-sharing lines obtained by using the upper bound of McEliece *et al.* [11] on the rates of the component codes for various  $\phi$ . The dots are the intersections of the lower bounds on  $[R_1(\phi), R_2(\phi)]$  and the time-sharing lines of the same  $\phi$ .

$$\begin{split} C_{20}(\mathbf{v}) &= \{ \mathbf{x} \mid \mathbf{x} \in C_{20} \text{ and } w_1(\mathbf{x} \oplus \mathbf{v}) \\ &+ \min_{\mathbf{w} \in C_{12}} w_2(\mathbf{x} \oplus \mathbf{v} \oplus \mathbf{w}) \leq 2t \}. \end{split} \tag{A1}$$

To determine the size of  $C_{20}(\mathbf{v})$ , we take two steps. Let  $\mathbf{x}$  be a vector in  $C_{20}$ . We compare  $\mathbf{x}$  and  $\mathbf{v}$  in the first  $2n_1$  components. For  $1 \le i \le n_1$ , define the following numbers:

- 1. Let  $j_0$  denote the number of blocks i such that the ith block of  $\mathbf{v}$  is (0, 0) and the ith block of  $\mathbf{x}$  is either (0, 1) or (1, 0).
- Let j<sub>1</sub> denote the number of blocks i such that the ith block of v is either (0, 1) or (1, 0) and the ith block of x is (0, 0).
- 3. Let  $j_2$  denote the number of blocks i such that the ith block of  $\mathbf{v}$  is (0, 1) [or (1, 0)] and the ith block of  $\mathbf{x}$  is (1, 0) [or (0, 1)].

Since both x and v are in  $C_{20}$  and since they have the same weight in the first  $2n_1$  components,  $j_0 = j_1$  and the weight of the first  $2n_1$  components of  $\mathbf{x} \oplus \mathbf{v}$  is

$$w_1(\mathbf{x} \oplus \mathbf{v}) = j_0 + j_1 + 2j_2 = 2(j_1 + j_2).$$
 (A2)

Clearly  $w_1(\mathbf{x} \oplus \mathbf{v})$  is even.

Let  $0 \le t_1 \le t$ . Let  $C^1_{20}(\mathbf{v}, t_1)$  be a subset of  $C_{20}$  such that (1) all the vectors in  $C^1_{20}(\mathbf{v}, t_1)$  have the same last  $n_2$  components; (2) for each vector  $\mathbf{x}$  in  $C^1_{20}(\mathbf{v}, t_1)$ ,  $w_1(\mathbf{x} \oplus \mathbf{v}) \le 2t_1$ . Then, we have

$$\begin{split} |C_{20}^{1}(\mathbf{v},\ t_{1})| &= \sum_{0 \leq j_{0} + j_{1} + 2j_{2} \leq 2t_{1}} \binom{n_{1}/3}{j_{0}} \binom{2n_{1}/3}{j_{1}} \binom{2n_{1}/3}{j_{2}} \binom{2n_{1}/3 - j_{1}}{j_{2}} \\ &= \sum_{0 \leq j_{1} + j_{2} \leq t_{1}} \binom{n_{1}/3}{j_{1}} \binom{2n_{1}/3}{j_{1}} \binom{2n_{1}/3 - j_{1}}{j_{2}} \\ &= \sum_{s=0}^{t_{1}} \sum_{j_{1}=0}^{s} \binom{n_{1}/3}{j_{1}} \binom{2n_{1}/3}{j_{1}} \binom{2n_{1}/3 - j_{1}}{s - j_{1}} \\ &= \sum_{s=0}^{t_{1}} \binom{2n_{1}/3}{s} \sum_{j_{1}=0}^{s} \binom{n_{1}/3}{j_{1}} \binom{s}{j_{1}}. \end{split} \tag{A3}$$

Let  $0 \le t_2 \le 2t$ . Let  $C_{20}^2(\mathbf{v}, t_2)$  be a subset of  $C_{20}$  such that (1) all the vectors in  $C_{20}^2(\mathbf{v}, t_2)$  have the same first  $2n_1$  components; and (2) for each vector  $\mathbf{x}$  in  $C_{20}^2(\mathbf{u}, t_2)$ ,

$$\min_{\mathbf{w} \in C_{12}} w_2(\mathbf{x} \oplus \mathbf{v} \oplus \mathbf{w}) \leq t_2.$$

Then, we have

$$|C_{20}^2(\mathbf{v}, t_2)| \le 2^{k_2} \sum_{i=0}^{t_2} {n_2 \choose i}.$$
 (A4)

It follows from the definitions of  $C_{20}(\mathbf{v})$ ,  $C_{20}^1(\mathbf{v}, t_1)$ , and  $C_{20}^2(\mathbf{v}, t_2)$  that

$$|C_{20}(\mathbf{v})| \le \sum_{0 \le 2t, +t_1 \le 2t} |C_{20}^1(\mathbf{v}, t_1)| \cdot |C_{20}^2(\mathbf{v}, t_2)|. \tag{A5}$$

Combining (A3), (A4), and (A5), we obtain

$$|C_{20}(\mathbf{v})| \le \sum_{0 \le 2t_1 + t_2 \le 2t} \left\{ \sum_{s=0}^{t_1} \binom{2n_1/3}{s} \sum_{j_1=0}^{s} \binom{n_1/3}{j_1} \binom{s}{j_1} \right\} \times \left\{ \sum_{i=0}^{t_2} \binom{n_2}{i} 2^{k_2} \right\}. \tag{A6}$$

Since the bound on  $|C_{20}(\mathbf{v})|$  given by (A6) holds for any  $\mathbf{v}$  in  $C_{20}$ , we therefore obtain (18).

#### Appendix B: Derivation of (20)

Let  $n = 2n_1 + n_2$ ,  $a = 2n_1/n$ , and  $b = n_2/n$ . Taking the logarithm of both sides of (5), dividing it by n, and rearranging it, we obtain

$$R_1 \le 1 - \frac{a}{2} - \frac{1}{n} \log_2 \left\{ \sum_{0 \le 2i_1 + i_2 \le 2t} \binom{an/2}{i_1} \binom{bn}{i_2} \right\}. \tag{B1}$$

The binomial coefficient

$$\binom{m}{\ell}$$

can be bounded as follows [12]:

$$\sqrt{\frac{m}{8\ell(m-\ell)}} \ 2^{mH(\ell/m)} \le {m \choose \ell} \le \sqrt{\frac{m}{2\pi\ell(m-\ell)}} \ 2^{mH(\ell/m)}. \tag{B2}$$

Let  $x = 2i_1/n$ ,  $y = i_2/n$ , and  $\phi = 2t/n$ . It is known in coding theory that, for an (n, k) code with minimum distance greater than 2t, we have  $\phi = 2t/n \le 1/2$  except for the trivial case with two code words. It follows from (B1) and (B2) that

$$R_{1} \leq 1 - \frac{a}{2} - \max_{0 \leq x + y \leq \phi} \left\{ \frac{a}{2} H\left(\frac{x}{a}\right) + bH\left(\frac{y}{b}\right) \right\} - o(1), \tag{B3}$$

where o(1) approaches zero as n becomes large.

Let

$$f_1(x, y) = \frac{a}{2} H\left(\frac{x}{a}\right) + bH\left(\frac{y}{b}\right), \quad x + y = \phi',$$
 (B4)

with  $0 < \phi' \le \phi$ . To find  $\max_{0 \le x+y \le \phi} f_1(x, y)$ , we use Lagrange's method of indeterminate multipliers. Consider  $g_1(x, y) = f_1(x, y) - \lambda_1(x + y)$ . (B5)

$$S_1(x,y) = S_1(x,y) - S_1(x,y)$$
 (23)

Taking the first and second derivatives of  $g_1(x, y)$ , we have

$$\frac{\partial g_1}{\partial x} = \frac{1}{2} \log_2 \left( \frac{a - x}{x} \right) - \lambda_1, \quad \frac{\partial g_1}{\partial y} = \log_2 \left( \frac{b - y}{y} \right) - \lambda_1,$$

$$\frac{\partial^2 g_1}{\partial x^2} = -\frac{a/\log_e 2}{2x(a - x)}, \quad \frac{\partial^2 g_1}{\partial y^2} = -\frac{b/\log_e 2}{y(b - y)},$$

$$\frac{\partial^2 g_1}{\partial x \partial y} = \frac{\partial^2 g_1}{\partial y \partial x} = 0.$$
(B6)

Note that the second derivatives of  $g_1(x, y)$  are non-positive for 0 < x < a and 0 < y < b. Setting  $\partial g_1/\partial x = 0$  and  $\partial g_1/\partial y = 0$ , we have

$$x = \frac{a}{2^{2\lambda_1} + 1}, \qquad y = \frac{b}{2^{\lambda_1} + 1}$$
 (B7)

with  $x + y = \phi'$ . Since a + b = 1 and since  $\phi' \le \phi \le 1/2$ , we have

$$\min \left\{ \frac{1}{2^{2\lambda_1} + 1}, \frac{1}{2^{\lambda_1} + 1} \right\} \le \phi' \le \frac{1}{2}.$$
 (B8)

From (B8), we conclude that  $\lambda_1 \ge 0$ . It follows from (B7) that  $x/a \le 1/2$  and  $y/b \le 1/2$ . This implies that  $f_1(x, y)$  takes its maximum value at

$$x + y = \phi. ag{B9}$$

Let  $z = 2^{\lambda_1}$ . From (B7) and (B9), we have

$$g(z) = z^3 + \left(1 - \frac{b}{\phi}\right)z^2 + \left(1 - \frac{a}{\phi}\right)z + 1 - \frac{1}{\phi} = 0.$$
 (B10)

Since  $0 \le \phi \le 1/2$ ,  $g(1) \le 0$ . Also, we see that

$$\lim_{z \to \infty} g(z) = +\infty.$$

Therefore, g(z) has at least one real root in the range  $z \ge 1$ . Since g(z) has at most one extremal point for  $z \ge 1$ , then g(z) has exactly one real root in the range of  $z \ge 1$ . Let  $z_1$  be the real root of g(z) in the range  $z \ge 1$ . Then, we obtain

$$\max_{0 \le x + y \le \phi} f_1(x, y) = f_1\left(\frac{a}{z_1^2 + 1}, \frac{b}{z_1 + 1}\right).$$
 (B11)

Combining (B3), (B4), and (B11), we obtain (20).

## Appendix C: Derivation of lower bounds on R2

The rate of  $C_2$  is given by (22). For convenience, we repeat (22) here:

$$R_2 = \frac{1}{n} \log_2 |C_2| \ge \frac{1}{n} \{ \log_2 |C_{20}| - \log_2 |C_{20}(\mathbf{v})|_{\text{max}} \}. \quad (C1)$$

To bound  $R_2$ , we need to determine  $\log_2 |C_{20}|$  and  $\log_2 |C_{20}(\mathbf{v})|$ . It follows from (9) that

$$\frac{1}{n}\log_2|C_{20}| = \frac{a}{3} + b + \frac{1}{n}\log\left(\frac{n_1}{n_1/3}\right),\tag{C2}$$

where  $a = 2n_1/n$  and  $b = n_2/n$ . Bounding the binomial coefficient

$$\binom{n_1}{n_1/3}$$

as shown in (B2), (C2) becomes

$$\frac{1}{n}\log_2|C_{20}| = \frac{a}{2}\log_2 3 + b + o(1),\tag{C3}$$

where o(1) approaches zero as n becomes large.

It follows from (18) that

$$\begin{aligned} |C_{20}(\mathbf{v})|_{\max} &\leq \sum_{0 \leq 2t_1 + t_2 \leq 2t} \left\{ \sum_{s=0}^{t_1} \binom{an/3}{s} \sum_{j_1=0}^{s} \binom{an/6}{j_1} \binom{s}{j_1} \right\} \\ & \cdot \left\{ \sum_{i=0}^{t_2} \binom{bn}{i} 2^{k_2} \right\}. \end{aligned}$$
(C4)

Let

$$S_1 = \frac{1}{n} \log \left\{ \sum_{i=0}^{s} {an/6 \choose j_1} {s \choose j_1} \right\}. \tag{C5}$$

Upper bounding the binomial coefficients

$$\binom{an/6}{j_1}$$
 and  $\binom{s}{j_1}$ ,

we have, for large n,

$$S_1 \le \max_{0 \le j_1 \le s} \left\{ \frac{a}{6} H\left(\frac{6j_1}{an}\right) + \frac{s}{n} H\left(\frac{j_1}{s}\right) \right\} + o(1). \tag{C6}$$

Set  $z=2j_1/n$  and x=2s/n. Since  $s \le t_1$  and  $t_1 \le t$ , we have  $x \le \phi = 2t/n$ . Based on the structure of  $C_{20}$  and  $C_{20}(\mathbf{v})$ , we have

$$2s \le 2t_1 \le \frac{2n_1}{3} .$$

This implies that  $x \le a/3$ . Now, we can put (C6) into the following form:

$$S_1 \le \max_{0 \le z \le x} \left| \frac{a}{6} H\left(\frac{3z}{a}\right) + \frac{x}{2} H\left(\frac{z}{x}\right) \right| + o(1). \tag{C7}$$

The function (a/6)H(3z/a) + (x/2)H(z/x) is convex over  $0 \le z \le x$ , and it takes its maximum value at

$$z = \frac{ax}{3x + a} \,. \tag{C8}$$

Combining (C7) and (C8) and using the fact  $H(\rho) = H(1 - \rho)$ , we obtain the following bound on  $S_1$ :

$$S_1 \le \frac{a}{6} \left( 1 + \frac{3x}{a} \right) H\left( \frac{a}{3x+a} \right) + o(1). \tag{C9}$$

Let  $y = t_2/n$ . Since  $t_2 \le n_2$ , we have  $y \le b$ . Using (C5), (C9), and upper bounding the binomial coefficients

$$\binom{an/3}{s}$$
 and  $\binom{bn}{i}$ 

as shown in (B2), we can manipulate (C4) into the following form:

$$\frac{1}{n} \log_2 |C_{20}(\mathbf{v})|_{\max} \le \max_{\substack{0 \le x + y \le \phi \\ 0 \le x \le n/3 \\ 0 \le y \le h}} \left| \frac{a}{3} H\left(\frac{3x}{2a}\right) \right|$$

$$+\frac{a}{6}\left(1+\frac{3x}{a}\right)H\left(\frac{a}{3x+a}\right)+b\overline{H}\left(\frac{y}{b}\right)+\frac{k_2}{n}+o(1),$$
 (C10)

where

$$\bar{H}(Y) = \begin{cases} H(Y) & \text{for } 0 \le Y \le 1/2, \\ 1 & \text{for } 1/2 < Y \le 1. \end{cases}$$
(C11)

Define

$$h(X) = H\left(\frac{X}{2}\right) + \frac{1}{2}(1+X)H\left(\frac{1}{1+X}\right).$$
 (C12)

The first and second derivatives of h(X) are

$$h'(X) = \frac{1}{2} \log_2 \frac{(2 - X)(1 + X)}{X^2},$$
 (C13)

$$h''(X) = -\left(\frac{X+4}{2X(2-X)(1+X)}\right) \cdot \left(\frac{1}{\log_e 2}\right).$$
 (C14)

From (C13) and (C14), we can see that h(X) increases monotonically as X increases from 0 to  $(1 + \sqrt{17})/4$ . Let

$$f_2(x, y) = \frac{a}{3} h\left(\frac{3x}{a}\right) + b\overline{H}\left(\frac{y}{b}\right).$$
 (C15)

Since  $x \le a/3$ , we have  $3x/a \le 1 < (1 + \sqrt{17})/4$ . Therefore h(3x/a) increases monotonically as x goes from 0 to a/3. Also, we note that  $\overline{H}(y/b)$  increases monotonically for  $0 \le y \le b/2$ , and it is equal to 1 for  $b/2 < y \le 1$ . Let

$$F_2(a, b, \phi) = \max_{\substack{0 \le x + y \le \phi \\ 0 \le x \le a/3 \\ 0 \le y \le b}} f_2(x, y).$$
 (C16)

Combining (C10), (C12), (C15), and (C16), we obtain

$$\frac{1}{n}\log_2|C_{20}(\mathbf{v})| \le F_2(a, b, \phi) + k_2/n + o(1). \tag{C17}$$

In the following, we determine  $F_2(a, b, \phi)$  for various ranges of  $\phi$ . For  $(a/3) + (b/2) < \phi$ , we have

$$F_2(a, b, \phi) = \frac{2a}{3} + b.$$
 (C18)

For  $\phi \leq (a/3) + (b/2)$ , we have

$$F_2(a, b, \phi) = \max_{\substack{x+y=0\\0 \le x \le a/3\\0 \le y \le b/2}} f_2(x, y).$$
 (C19)

For this case, we use Lagrange's method of indeterminate multipliers to determine the maximum value of  $f_2(x, y)$ . Consider

$$g_2(x, y) = f_2(x, y) - \lambda_2(x + y)$$
 (C20)

with constraint  $x + y = \phi$ . Setting  $\partial g_2/\partial x$  and  $\partial g_2/\partial y$  to zero, we obtain

$$\log_2 \frac{(2a - 3x)(a + 3x)}{9x^2} = 2\lambda_2, \quad \log_2 \frac{b - y}{y} = \lambda_2,$$

with  $x + y = \phi$ . Since  $0 \le x \le a/3$  and  $0 \le y \le b/2$ , then  $\lambda_2 \ge 0$ . We can also show that the second derivatives of

 $g_2(x, y)$  are nonpositive for  $0 \le x \le a/3$  and  $0 \le y \le b/2$ . Let  $z = 2^{\lambda_2}$ . From (C20) and the constraint  $x + y = \phi$ , we find that  $f_{\sigma}(x, y)$  takes its maximum value at

$$x = \frac{4a}{3(\sqrt{8z_2^2 + 9} - 1)} , \quad y = \frac{b}{z_2 + 1} , \quad (C21)$$

where  $z_0$  is a root of

$$\frac{4a}{3(\sqrt{8z^2+9}-1)} + \frac{b}{z+1} = \phi.$$
 (C22)

Since the lefthand side of (C22) decreases monotonically for  $z \ge 0$  and is equal to

$$\frac{a(1+\sqrt{17})}{12} + \frac{b}{2} > \phi \tag{C23}$$

at z = 1, there exists exactly one root  $z_2$  of (C22) such that  $z_2 > 1$ . If  $z_2 \ge \sqrt{2}$ , then  $x \le a/3$ , y < b/2, and

$$\frac{a}{3} + \frac{b}{1 + \sqrt{2}} \ge \phi. \tag{C24}$$

It follows from (C19), (C21), and (C24) that, for  $\phi \le (a/3) + b/(1 + \sqrt{2})$ , we have

$$F_2(a, b, \phi) = f_2\left(\frac{4a}{3(\sqrt{8z_2^2 + 9} - 1)}, \frac{b}{z_2 + 1}\right).$$
 (C25)

However, for  $(a/3) + b/(1 + \sqrt{2}) < \phi \le (a/3) + (b/2)$ ,

$$F_2(a, b, \phi) = f_2\left(\frac{a}{3}, \phi - \frac{a}{3}\right) = \frac{2a}{3} + bH\left(\frac{3\phi - a}{3b}\right).$$
 (C26)

It follows from (C1), (C3), and (C17) that we obtain the following lower bound on  $R_0$ :

$$R_2 \ge \frac{a}{2} \log_2 3 + b - F_2(a, b, \phi) - \frac{k_2}{n} + o(1),$$
 (C27)

where  $F_2(a, b, \phi)$  is given by (C18), (C25), and (C26) for different ranges of  $\phi$ . Since  $R_1 = (k_1 + k_2)/n$ , (C27) becomes

$$R_2 \ge \frac{a}{2} \log_2 3 + b - F_2(a, b, \phi) - R_1 + \frac{k_1}{n} + o(1).$$
 (C28)

The term  $k_1/n$  can be expressed in the following form:

$$k_1/n = (k_1/n_1) \cdot (n_1/n) = (a/2)R_{10}.$$
 (C29)

From (19) and (C29), we obtain

$$\frac{k_1}{n} \ge \frac{a}{2} \left[ 1 - \overline{H} \left( \frac{\phi}{a} \right) \right],\tag{C30}$$

where  $\overline{H}(\phi/a) = H(\phi/a)$  for  $0 \le \phi/a \le 1/2$  and  $\overline{H}(\phi/a) =$ 1 for  $1/2 < \phi/a \le 1$ . Combining (C28) and (30), we obtain

$$\begin{split} R_2 & \geq \frac{a}{2} \left[ \log_2 3 + 1 - \overline{H} \left( \frac{\phi}{a} \right) \right] \\ & + b - F_2(a, b, \phi) - R_1 + o(1). \end{split} \tag{C31}$$

It follows from (C31), (C18), (C25), and (C26) that we obtain the lower bounds on  $R_2$  given by (23), (24), and (25).

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