Language Facilities for Programming User-Computer Dialogues

Abstract: Extensions to PASCAL that provide for programming man-computer dialogues are proposed. An interactive application program is viewed as a sequence of *frames*, representing stages of dialogue activity, and separate computational steps. First, extensions are presented to allow the description of the items of information contained in each frame. Second, PASCAL is extended to allow the inclusion of *behavior rules* for a frame to specify the interactive dialogue. The behavior rules are specified nonprocedurally. Previously, programming such dialogues has required the specification of all possible interactions and their effects in a procedural fashion.

1. Introduction

Typical applications of interactive user-computer dialogue systems include the collection of business data (key data entry), question-answering sessions typified by computer-aided instruction (CAI) systems, and interactive data base systems, such as may be used in banks or airline reservation systems. These systems can be characterized as follows:

- 1. The system is in a state (often called a frame in CAI systems [e.g., 1, 2]) in which text is displayed to the user, and the user answers questions, pushes buttons, or types in values. The system monitors the user input for validity and consistency and asks the user to supply additional values if necessary. This process may cause changes in the format and content of the text being displayed, but, basically, the system is in one state or frame.
- After values have been satisfactorily keyed in, the system performs some computations based on these values. It then selects another (or the same) frame and returns to step 1 to resume the dialogue in the new frame.

Previously, such systems have been implemented in assembly language or in FORTRAN or other high level languages. Some work has been done to make languages more amenable to programming dialogue systems. For example, some have considered attention-handling [3, 4] constructs such as

ON LIGHTPEN DETECT THEN GO TO LABELI; and IF KEY 5 THEN CALL KPROGS;

while others [5, 6] have suggested state diagrams for describing and restricting interactions. Most of the techniques used in the design and implementation of such dialogues have been adequately summarized by Martin [7].

However, the design and implementation of such dialogues is still a long, arduous task, partly because the programmer must describe everything—how text is to be displayed, how user inputs are to be monitored, etc.—in a procedural fashion. For example, if there are restrictions on the combinations of two input values required from the user, then the test for these restrictions must be programmed as a sequence of procedural statements.

In this paper, we propose extensions to the programming language PASCAL [8, 9] that are designed to ease the description of interactive dialogues. First, we provide for the description of the layout of information to be displayed for a frame, in a device-independent manner. This means providing for a description of the logical units of information—the *items*—that may appear on the display screen. Essentially, an item is a PASCAL variable with some additional characteristics, such as the position on the screen, the text, the format of values that the item can have, whether or not the value can be modified by the user, and so forth. Our notation also provides for grouping items into logical units called *subframes*, which can be used in more than one frame.

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BANK OF NEW YORK

NEW ACCOUNT

Enter information. Hit ENTER when done.

NAME: SEL. SERVICE NO.:

SEX: *MALE *FEMALE

NO. CHILDREN: SALARY: SPOUSE'S SALARY:

STATUS: *SINGLE *MARRIED

Figure 1 A typical frame.

Second, we extend PASCAL to allow the inclusion of behavior rules for a frame, which are, essentially, the specifications of the interactive dialogue. A behavior rule is either a Boolean expression that describes a constraint on items and their values (e.g., whether or not the user is to select one or more options from the item), or it is a statement that expresses the conditions under which an error should be reported, a value should be changed by the system, the text being displayed should be changed, the dialogue is to terminate, etc. The important point is that these behavior rules are specified nonprocedurally. Thus, the programmer need not be concerned with the order in which the rules are executed. Moreover, the compiler can ensure that the rules are consistent and can disregard rules that are superfluous.

We restrict our attention to facilities for implementing alphanumeric user-computer dialogues (as distinguished from dialogues involving the manipulation of graphical entities, such as lines, points, etc.). Our intent is not to give a complete, rigorous, formal definition but to outline briefly ideas which we believe are interesting and useful for programming such dialogues. More work needs to be done if a full language is to be designed and implemented [10]. We do give some hints on implementation where appropriate, and more information can be found in [11].

PASCAL has been chosen as a base notation for expressing our ideas because of its simplicity and clarity of definition, its block structure, and its flexible data type constructs. As will be seen, our extensions blend quite well with the PASCAL concepts and syntax. We assume the reader is familiar with PASCAL.

We do assume several simple and hopefully obvious extensions to PASCAL, such as the use of the break character "_" in identifiers. Its scope and block structure rules are also assumed to hold for frames and subframes (which are defined later).

We make use of the operators **cor** and **cand** (conditional **or** and conditional **and**), which can be used in place of **or** and **and** to "short-circuit" evaluation of a $\langle condition \rangle$ or Boolean expression:

 $a \operatorname{cor} b \equiv if \ a \ then \ true \ else \ b$ $a \operatorname{cand} b \equiv if \ a \ then \ b \ else \ false$

The reader must realize that we have left much to his imagination, and we rely heavily on his knowledge of current systems. For example, we assume a device-independent, two-dimensional description of a display screen, with rows numbered 0 through 40 (say) and columns numbered 0 through 100 (say), each element of which can contain a character. The interface between this grid and a real display must be specified in some "job control language" that we do not describe. The fact that a light pen is triggered when pointing at a certain place on the screen is described in the language as "selecting" the option being displayed at that point. Similarly, pushing a button is referred to as selecting an option; how the interface is realized is beyond the scope of this paper.

2. Short example

Before proceeding with a description of the language extensions, we present and discuss a small example to illustrate some of the language features and their use.

Figure 1 shows a frame as the user might see it on a display screen. He is to key in the obvious information requested—name, selective service number if the user is male, number of children, salary, and spouse's salary. The user must also select either *MALE or *FEMALE and either *SINGLE or *MARRIED. When finished, he is to depress the ENTER button on the keyboard.

Shown on this screen are display items, each consisting only of text, key-in items, each consisting of text and a field for a value to be entered by the user, and menu items, each consisting of text together with a set of options from which the user makes a selection. The two display items are declared as follows:

```
var title: display text 'BANK OF NEW YORK';

heading: display text 'NEW ACCOUNT' at (0,16),

'Enter information. Hit enter when done.' at (2,0)
```

The tuple following at indicates the row and column numbers where the text is to be placed relative to the origin of the item [(0,0)] is the default. The position of the item itself on the screen is not established by this declaration.

There are five key-in items, which are declared below. For each, we give the type of value to be keyed in, the position of the field for the value (relative to the item origin), the text, and a format under which the value is to be read in. In all of these cases, the relative position of the text is assumed to be (0,0).

```
var name: key-in char at (0,6) text 'NAME:' format A20;
sel_ser: key-in integer at (0,18)
text 'SEL. SERVICE NO.:' format 19;
nchild: key-in integer at (0,14)
text 'NO. CHILDREN:' format 110;
```

```
salary: key-in real at (0,8) text 'SALARY:'
format F15;
salary2: key-in real at (0,17)
text 'SPOUSE''S SALARY:' format F15
```

Finally, we define the menu items sex and status:

```
type options1 = set of (MALE, FEMALE);
var sex: menu options1 at (0,6) text 'SEX:';
type options2 = set of (SINGLE, MARRIED);
var status: menu options2 at (0,8) text 'STATUS:'
```

Note that in a menu item we specify a *set* of options, which are essentially string constants. The first option is displayed at the indicated position with respect to the item origin, with an asterisk preceding it; the remaining options in successive rows immediately below.

Suppose that these variables have been declared, either locally within a frame or globally. We now add to the definition of the frame the **contains** clause, which describes where each of these items is to appear on the screen. (The top leftmost position is row 0, column 0.)

```
contains title at (0,17); heading at (2,3);

name at (5,3); sel_ser at (6,3);

sex at (6,31); nchild at (8,3);

salary at (9,3); salary2 at (10,3);

status at (9,31)
```

We now wish to specify the following constraints and relations among the items defined above:

- 1. (Requirement) At least one option must be selected from *sex*; a value must be entered for *salary*.
- 2. (Limit) Only one option can be selected from *status* and *sex*.
- 3. (Requirement) *nchild* must have a nonnegative value (which is disregarded unless the user is married).
- 4. (Requirement) If the user is male, then a value must be entered for *sel_ser*.
- 5. (Exclusion) If the user is female, then a value should not be entered for *sel_ser*.
- 6. (Requirement) *salary2* is required if the user is married and *salary* is less than \$10 000.
- 7. (Binding) The item *salary2* is to appear on the screen only if the user is married.
- 8. (Terminate) Process the given information when the ENTER key is depressed.

To express these constraints and relations, we write behavior rules for the frame as follows:

```
require sex, salary;
with sex: allow only 1 option;
with status: allow only 1 option;
require nchild \ge 0 if MARRIED in status;
require sel\_ser if MALE in sex;
exclude sel\_ser if FEMALE in sex;
```

```
require salary2 if MARRIED in status cand salary < 10000;
let salary2.d be MARRIED in status;
terminate if ENT_KEY
```

The .d part of the notation salary2.d refers to the display/no-display property of item salary2, i.e., whether or not it is to be displayed to the user. The last rule indicates that the dialogue is to terminate when the user depresses the ENTER key, provided that there are no errors; if a rule is violated, however, an error message is given to the user and the dialogue is not terminated.

This small example is intended to give an idea of what we are proposing. We now go into more detail: Sections 3, 4, 5, and 6 discuss items, subframes, frames, and behavior rules, respectively.

3. Items

Like a variable of a program, an item may contain a value of a specific type, and this value may be changed during the execution of a program. However, unlike a variable, an item has attributes that determine where and how it is to appear on a two-dimensional display. An item *declaration* describes the type of value that the item can have, an initial value (if desired), and the position of that value relative to the origin of the item. It may also describe additional text to be displayed along with the value. Finally, an item may be associated with an input or output file; in this case the item can be considered as a "window" through which the file can be viewed by the user.

We describe four kinds of items in this section: display, key-in, menu, and attention. A fifth, the list item, is similar to the menu item; it specifies a list of values, instead of a set of values, and is useful when the order of selection of options is important. The list item is not needed in our examples; hence it has been left out. A sixth, the table item, although quite useful, is fairly complicated and is not needed for a general view of our ideas; its description has been relegated to Appendix A.

The overall syntactic structure of an (item type) and an (item declaration) is given below. As usual, the brackets "[]" denote an optional syntactic entity. The reader should realize that not all parts of an (item type) may be present for a particular item kind. To keep our description simple, we give a grammar that "covers" a more exact grammar for the language (which is given in Appendix B). When discussing each item kind, it will become clear which parts are allowed and which are not.

```
\langle position \rangle ::= at (\langle row number \rangle, \langle column number \rangle)
\langle type \rangle ::= a PASCAL data type
\langle item \ declaration \rangle ::= \langle id \rangle : \langle item \ type \rangle
```

We consider the file $\langle id \rangle$ part separately, after the various item kinds have been discussed.

Before proceeding with a discussion of the specific item kinds, let us make some general observations. First, a $\langle position \rangle$ gives the relative row and column numbers where the value or text string is to be placed. An item declaration does not indicate where the item is to actually appear on the display; this is specified for each frame by means of a **contains** clause to be defined later. If $\langle position \rangle$ is omitted, (0,0) is assumed.

Secondly, the **initial** $\langle expression \rangle$ gives an initial value for the item. The type of the $\langle expression \rangle$ is assumed to match the $\langle type \rangle$ of the value of the item.

Thirdly, any item has three properties that affect its appearance and behavior, and these properties may change as the dialogue progresses:

- 1. The selected/unselected property indicates whether or not the item contains a value. We say that the status of an item is selected or unselected. An item is unselected until it receives a value by an assignment in the program, by means of the initial clause in its declaration, or by user's input. Provision should also be made for an item to be deselected (set to unselected status) by the user (perhaps by pointing again to it with a light pen).
- The enabled/disabled property indicates whether or not the user is permitted to act on the item—enter a value, etc.
- 3. The display/no-display property indicates whether or not the item is to appear on the display device. Thus an item may appear or disappear from view, depending on actions by the user or the program.

The programmer must have the means for testing and changing these properties. To do this, we use the PASCAL notation for referencing fields of a record. Thus, for an item x,

- x.s is a Boolean value that describes the selected/unselected property of x, i.e., x.s = true if x is selected, false otherwise.
- x.d is true if x is to be displayed, false otherwise.
- x.e is true if the user is allowed to act on item x, false otherwise.

Thus, the assignment x.d := y.s can be interpreted as "if y is selected, then display x; otherwise, do not display x."

We are now ready to discuss the individual kinds of items.

• Display item

A display item is used to present information consisting of text and a value to the user. The program may change the

value, but the user may not. Thus, the enabled/disabled property x.e of a display item x is always false. The example of Section 2 contained two display items whose declarations contained no $\langle type \rangle$. Thus the items were used only to present information to the user, and no values were associated with them. Another declaration of a display item with both text and a value is

```
var x: display integer at (0,16)
    text 'current_number:' at (0,0).
    'maximum: 9' at (1,0) initial 0
```

Whenever and wherever item x is displayed, it will look as follows (except that its value, initially 0, may have been changed by the program):

```
current number: 0 maximum: 9
```

• Key-in item

A key-in item x (say) is similar to a display item except that the user is allowed (usually expected) to enter a value for it, as long as its enabled/disabled property is true. He can do this by positioning the cursor on the display screen at the place where the value for x belongs (or, alternatively, by aiming the light pen there) and then typing in a value. Upon typing in such a value, x automatically assumes status selected, i.e., x.s is set to true. Presumably, the user would also have means for deselecting the item—for erasing its value completely—thus setting x.s to false. In this case, the value of the key-in item is undefined. The programmer can also do this simply by writing x.s := false.

Consider the item declaration

```
var y: key-in integer at (0,16)
text 'current number:' at (0,0)
```

When first displayed, it will look like "current number:". After the user keys in the value 6 (say), the item value becomes 6, y.s is set to true, and the screen is changed to "current number: 6".

• Menu item

The *menu* item is used to describe a set of options from which the user may make zero or more selections. In the $\langle item\ type \rangle$ specification (see the beginning of Section 3), the $\langle type \rangle$ must be a PASCAL set type. The value of a menu item is always a subset of the set of values defined by the $\langle type \rangle$. Consider, for example, the following declaration:

```
type color = set of (red, white, blue);
var z: menu color at (1,2)
    text 'Which color do you like?' at (0,0)
```

In PASCAL, color is a set type which denotes the powerset of the set of values {red, white, blue}. The value of z can

be any subset of the base type {red, white, blue}. Facilities exist in PASCAL for adding a member of {red, white, blue} to z and for testing whether z contains a value from {red, white, blue} (for example, red in z yields true or false depending on whether red is in z or not). These facilities are available to the programmer in designing and coding the dialogue.

For a menu item z, z.s corresponds to whether the value of z is nonempty (z.s = true) or is the empty set (z.s = false). When the above item z is displayed, it will look like

Which color do you like?

*red

*white

*blue

Thus, the options are displayed beginning in the same column, in successive rows, with the first option beginning at (1,2) (with an asterisk preceding it). If the user now selects "*red" and "*blue," the display will be changed to reflect this fact (perhaps by brightening the selected options). Then z.s = true and the value of z is the set $\{red, blue\}$. Similarly, by aiming the light pen at an already selected color, he could delete it from z.

We summarize. The value of a menu item z is a subset of the set of options defined by the $\langle type \rangle$ in the declaration of z, and z.s = card(z) > 0 (the cardinality of z is greater than 0). All options of the specified $\langle type \rangle$ are displayed in a standard format, with the ones currently in z indicated in some manner. The user may select an unselected option (add it to z) or deselect a selected option (delete it from z). The programmer may reference the value of z (change z, test whether an option is in z, etc.) as he would normally use a variable of type set.

• Attention item

An attention item is declared in the same fashion as a menu item (except that the item kind is **attention**). The $\langle type \rangle$ must be a scalar or subrange type. The difference between the two kinds of items lies in how their corresponding values are treated. The value of an attention item is always a scalar from the set of values defined by $\langle type \rangle$ (or undefined, if no option has been selected). The item is always restored to unselected status whenever the system becomes ready to accept the next user action.

The reason for attention items is to allow the use of special buttons or keys or to make it simple for the programmer to specify an immediate system response when a phrase on the display screen is pointed at with a light pen. Any number of such keys, buttons, or phrases may be specified as options of an attention item. After the user pushes a button or selects a phrase, the system may record the input and then deselect the option (that is, set the status of the item to unselected). The user may then choose another option.

Consider, for example, an attention item declared as follows:

```
type choices = (PROCEED, RESET, TERMINATE);
var x: attention choices at (1,2)
     text 'What would you like to do next?'
```

When the above item is displayed to the user, it will appear as follows:

What would you like to do next?

*PROCEED

*RESET

*TERMINATE

The programmer may wish to specify a system response for each option as follows. When the user selects *PROCEED, the current frame dialogue is to terminate, information entered by the user is to be recorded, and the next frame is to be presented. If *RESET is selected, all key-in items in the current frame are to be "reset" (i.e., their status set to unselected) and the user is to type in new values. Finally, if *TERMINATE is chosen, the dialogue is to terminate. In any case, x.s is set to true after the user action, until the system is ready to accept the next user action, at which time x.s = false and the value of x is again undefined.

• Associating a file with an item

In many data-directed interactive applications it is necessary to read information from a file, present the information to the user (usually in tabular form), accept changes from the user, and then rewrite the file with the updated information. A table item, described in Appendix A, is part of the mechanism for doing this, and a more detailed discussion appears there. However, the association of a file with an item is interesting enough to be explained here

If a file attribute **file** f appears in the declaration of a key-in item x, then f must be an identifier denoting a PASCAL input or output sequential file. We say that item x is bound to the file f. The value of x is always the value of the buffer variable $f \uparrow$ whose value can be used and changed by file operators. Thus, item x becomes a "window" through which file f can be viewed. In the case of an input file, the programmer can read the components of the file using the PASCAL operators reset and get, whereas an output file can be written using the operators rewrite and get.

A simple example will illustrate these ideas. Suppose *oldf* is a file of real numbers to be inspected. The user looks at each value, changes it if necessary, and then pushes a key, which causes the value to be appended to an output file *newf*. We declare two items *k1* and *a1* and a procedure *read_file* as follows:

```
BANK OF NEW YORK JULY 4, 1976
1:30 P.M.
```

Figure 2 A subframe.

```
type oldf = file of real;
    newf = file of real;
var kl: key-in real at (2,15)
    text 'Change value if necessary.' at (0,0),
        'Depress key 1 when done.' at (1,0),
        'Next value is:' at (2,0)
        input file oldf output file newf
        format F10.2;
    al: attention (KEY12);
    .
    .
    procedure read_file;
begin if not eof(f) then
        begin put(newf); get(oldf)
        end
end
```

In this example, kI is a key-in item bound to files oldf and newf, and aI is an attention item containing only the option KEY12, which denotes, say, hardware key number 12. Procedure $read_file$ is invoked each time the user depresses this key. (This is specified by the programmer with a terminate behavior rule, described in Section 6.) This procedure writes the current value of kI (which is also the value of $oldf \uparrow$ and $newf \uparrow$) into newf and reads the next value from oldf, which is then displayed to the user automatically by virtue of the attribute **input file** oldf.

4. Subframes

The subframe notation is used to group together a set of items (and/or other subframes) as a logical unit. In addition to items declared locally, the subframe may contain items and other subframes declared globally. It must specify where (on the display screen) each of the included items and subframes is to be placed. This, of course, is relative to the row and column where the subframe being defined is to be placed. It may also contain behavior rules (see Section 6) which describe restrictions and interrelations on the items of the subframe.

A subframe type is thus similar to a PASCAL record type in that it allows the programmer to view a collection of objects as a single value. The syntax is:

```
\langle subframe\ type \rangle ::= subframe\ \langle PASCAL\ declaration \rangle
[\langle item\ inclusion\ part \rangle]
[\langle behavior\ rules \rangle] end
```

In the (PASCAL declaration) the programmer can declare items (and other subframes) which are local to the subframe. The (item inclusion part) lists all items and subframes (including local ones) which are considered to be part of the subframe, together with their position with respect to the subframe:

```
\langle item\ inclusion\ part \rangle ::= contains \langle id \rangle at \langle position \rangle 
\{; \langle id \rangle at \langle position \rangle \}
```

As an example, suppose we want a subframe to contain the information in Fig. 2, where the items *date* and *time* have already been declared globally. The subframe y would be given by

```
var y: subframe

banktext: display text 'BANK OF NEW YORK';

contains banktext at (0,2);

date at (0,20);

time at (1,20)

end
```

5. Frames

A frame describes a set of dialogues between the system and the user. It is similar in concept and definition to a PASCAL procedure without parameters, except that it also describes how information is to be displayed to the user and how the user is to interact with the program.

A frame definition may contain declarations of local variables, items, subframes, functions, and procedures to be used in the frame. In addition, it may contain

- 1. A procedure *initialize*, which is automatically invoked (if declared) upon entry to the frame in order to perform necessary initialization.
- 2. A **contains** clause, as described above in the discussion of subframes; this specifies the items to be displayed together with their position and other attributes (format, intensity, text of the error message if an illegal value is supplied, etc.).
- 3. A set of *behavior rules* (described in Section 6), which describes the user interaction with the program (for this frame), restrictions on this interaction, and conditions for termination of the frame dialogue.
- 4. A procedure *terminate*, which is automatically invoked (if declared) upon termination of the dialogue, just before the frame itself is terminated.

The "execution" of a frame thus proceeds as follows. First, procedure *initialize* is executed. Then the items are displayed to the user and a dialogue takes place. Upon its termination, procedure *terminate* is executed (if it exists) and the frame itself terminates. (Control is returned to just after the point of invocation.)

Let us illustrate this with a simple example in which a global item is used in two frames in different ways. Suppose, for example, that the following items were declared globally:

```
var accno: key-in char at (0,16)

text 'ACCOUNT NUMBER:';

balance: key-in real at (0,9)

text 'BALANCE:' format F10.2
```

In one frame, say F1, the item accno is displayed to the user and he must specify a value, which the system then uses to search a file for information concerning his bank account:

When this frame is presented to the user, item *accno* will appear at position (3,3). The **intensity** attribute specifies that the item is to be brightened (high intensity) in this frame. The **format msg** attribute specifies an error message to be given to the user if the value entered for *accno* is not a six-digit number.

In another frame, F2, the same item is to be displayed to the user but at a different position and with different attributes. The purpose of this frame is to allow the user to request a bank "operation" (for example, to show his account balance). Thus we declare the local item op_type and include the global item accno:

```
frame F2;
var op_type: attention (KEY1, KEY2, KEY3);
contains accno at (5,5); op_type;
rules let accno.e be false;
terminate if op_type.s
end
procedure terminate;
begin case op_type of
KEY1: begin balance := get_bal;
balance.d := true
end
:
end
endframe
```

The let rule prevents the user from changing the account number (the item is disabled). When the user selects the option KEY1, the procedure *terminate* is automatically invoked; the function *get_bal* obtains the account balance, which can then be displayed to the user in another (or the same) frame.

We see from this example that different attributes and behavior rules that affect an item can be specified in each frame where the item is to be used. Consequently, whereas the basic characteristics (item kind, data type, initial value, etc.) of an item do not change, the behavior and appearance of an item can vary from one frame to another.

As a convenience for the programmer, we relax the syntax rules and allow frame attributes to be specified directly in the declaration of local items or subframes (thus eliminating the need for a **contains** clause for local items). For example, instead of writing

```
frame F1;
var x: key-in char at (0,12) text 'ENTER NAME:';
contains x at (1,2) format A15;
:
endframe
one can, equivalently, write
frame F1;
var x: key-in char at (1,14) text 'ENTER NAME:'
at (1,2) format 'A15;
:
:
```

• A PASCAL program

endframe

Finally, we need to extend the definition of a PASCAL program to include a $\langle frame\ definition\ part \rangle$, which consists of one or more frame definitions. We can now think of a program as a description of a class of application dialogues and all the processing steps required to support any such dialogue. The processing is specified by procedure declarations and by the $\langle statement\ part \rangle$ of the program. The $\langle statement\ part \rangle$ contains statements to be initially executed and statements to invoke frames and to determine the order of presentation of frames.

6. Behavior rules

As the user acts on items of a frame, the appearance of the frame changes depending on the behavior rules that have been specified for the frame. For example, entering data for an item may cause a message to be displayed, pressing a key may result in tutorial information being given, and pointing to an item option with a light pen may cause part of another item to be brightened or dimmed or to disappear altogether. With complicated relationships, a simple user action can cause the appearance of the frame to change quite radically, so much so that the user no longer sees it as the same frame. Nevertheless, the basic notion is that it is the same frame, at least as far as the programmer perceives it. The user, however, does not need to think in terms of frames.

Three types of behavior rules can be used to guide the interactions with the user during a frame dialogue. The

binding rule is used to specify the conditions under which the value (and status properties) of a variable should be changed by the system; the requirement rule is used to specify the conditions that must be true before the frame dialogue may terminate; and the terminate rule is used to specify when termination is to occur.

• Binding rule

The binding rule has the general form

let (*variable*) **be** (*expression*) [**if** (*condition*)]

The conventional rules about matching types of $\langle expression \rangle$ and $\langle variable \rangle$ in an assignment apply here also. After each response by the user, all let rules are processed (in an undetermined order and perhaps several times each) as follows: If a $\langle condition \rangle$ of a let rule is true, then the $\langle expression \rangle$ is evaluated and assigned to the $\langle variable \rangle$.

After processing all the **let** rules, for any rule with its $\langle condition \rangle$ true, the $\langle variable \rangle$ will have the value of the $\langle expression \rangle$.

We realize that with these semantics, **let** rules may be difficult to implement efficiently. Different ordering of processing of the rules can produce different results, the processing may not terminate, and there may be inconsistencies that cannot be resolved. For example, consider

let x.s be not x.s if not x.s; let x.s be not x.s if x.s or let a be b + 1; let b be a + 1

Proposals have been made for languages in which the order of execution is not explicitly given [12–14]. In particular, Foster and Elcock [14] describe a compiler for a generalized language consisting of such "assertions." We believe that the use of **let** behavior rules can be restricted so as to allow efficient processing and safe checks for termination, without harming flexibility too much. One simple restriction is that it be possible to order the rules so that the $\langle variable \rangle$ of **let** rule *i* does not appear in rules 1 through i-1. We adhere to this restriction in this paper.

• Requirement rule

The rule

require (condition)

is used to indicate that $\langle condition \rangle$ must be true before the frame dialogue may terminate. Should termination be signaled when $\langle condition \rangle$ is false, a message is displayed to the user, who must then respecify entries so that $\langle condition \rangle$ is true.

• Terminate rule

The rule

terminate if (condition)

indicates that when $\langle condition \rangle$ is true, the current frame interactions are to terminate and control is to return to the point in the program where the current frame was invoked. After performing computations, the program may invoke another (or the same) frame or terminate execution.

As examples of these basic types of rules, we rewrite some of the behavior rules of the example presented in Section 2. Remember, for an item x, x.s refers to the selected/unselected status, and x.d to its display/no-display status.

```
require sex.s; {a sex option must be selected}
require salary.s: {a salary must be given}
require card(sex) = 1; {exactly one of MALE and FE-
MALE must be chosen}
require card(status) = 1;
require not (MARRIED in status) cor nchild ≥ 0;
require not MALE in sex cor sel_ser.s;
require not FEMALE in sex cor not sel_ser.s;
let salary2.d be (MARRIED in status);
terminate if ENT_KEY
```

• Some syntactic sugar

Although the three types of rules above are powerful enough, some syntactic sugar can increase the ease of writing behavior rules. One abbreviation is simply to use the item name x instead of x.s. For example, "require salary" makes perhaps more sense than "require salary." which means that the item salary must be selected. To illustrate other syntactic extensions, we give below a set of formats for new rules and show immediately below how each could be written in the skeleton syntax given above.

- 1. require ⟨condition1⟩ if ⟨condition2⟩

 ≡ require not ⟨condition2⟩ cor ⟨condition1⟩
- 2. (Exclusion rule) exclude vI, v2 if $\langle condition \rangle$ = require not (vI.s or v2.s) if $\langle condition \rangle$
- 3. (Limit rule) **limit is** 1 **of** $sex = require card(sex) \le 1$
- 4. require at least 1 of sex
 - \equiv require $card(sex) \ge 1$
- 5. (Option limit rule) with $\langle id \rangle$: allow only 1 options $\equiv \det card(\langle id \rangle) \le 1$
- 6. (Implication rule) with \(\langle id \rangle: \(\langle condition \rangle\$ implies red, blue
 - $\equiv \mathbf{let} \langle id \rangle \ \mathbf{be} \langle id \rangle + [red] \ \mathbf{if} \langle condition \rangle; \\ \mathbf{let} \langle id \rangle \ \mathbf{be} \langle id \rangle + [blue] \ \mathbf{if} \langle condition \rangle$
- 7. (Option exclusion rule) with \(\langle id \rangle: \(\langle condition \rangle \) excludes \(blue \)

```
\equiv \mathbf{let} \langle id \rangle \mathbf{be} \langle id \rangle - [blue] \mathbf{if} \langle condition \rangle
```

Another convenient extension is the *enter* behavior rule, which allows a procedure to be invoked directly without terminating the frame dialogue:

```
enter (id) if (condition)
```

 \equiv invoke procedure $\langle id \rangle$ if $\langle condition \rangle$ is true; Upon return from the procedure, resume the frame dialogue.

As can be seen, the language allows the programmer to say the same thing in many ways. The language designer must search for a minimum set of rules with maximum flexibility (if such a thing is possible). It is probably better to have just a few ways of describing behavior rules rather than too many.

7. A larger example

As an example of a dialogue program, suppose that a financial institution wishes to computerize some of its operations. We show below part of the application program.

The program is structured as a sequence of frames F1, $F2, \cdots$. Frame F1, shown in Fig. 3, allows the user to select one of the bank functions and, accordingly, to proceed to another frame to perform the desired function (New Account, Deposit, or Withdrawal). Frames F2 and F3 (Figs. 4 and 5) allow the user to enter the required information in order to open a new account and are typical of applications requiring the collection of a large amount of interrelated information, with very little processing.

• Program

```
program bank;
  var title: display text 'BANK OF NEW YORK';
  R: subframe
       return: attention (RETURN);
       rules terminate if return.s end
     end:
  al: attention (OPEN ACCOUNT, DEPOSIT, WITHDRAWAL)
      at (1.8) text 'Select transaction desired:':
  dl: display text 'NEW ACCOUNT';
  heading: subframe
               inf: display at (4,3)
                   text 'Enter information. Hit ENTER
                   when done.';
               contains dl at (2,19);
           end:
  name: key-in char at (0,6) text 'NAME:';
  status: menu set of (MARRIED, SINGLE) at (0,9)
         text 'STATUS:';
  accno: display at (0,24)
         text 'The new account No. is:':
frame F1: \cdots endframe:
frame F2: · · · endframe:
          . . .
begin
  start: F1;
```

```
BANK OF NEW YORK
```

```
Select transaction desired:
        *OPEN ACCOUNT
        *DEPOSIT
        *WITHDRAWAI
```

Figure 3 Frame F1.

```
BANK OF NEW YORK
                NEW ACCOUNT
Enter information. Hit ENTER when done.
NAME:
PERMANENT ADDRESS:
MAILING ADDRESS - Same as above?
                                   *YES
   ENTER ADDRESS:
STATUS:
         *MARRIED
         *SINGLE
```

Figure 4 Frame F2.

```
case al of
      NEW ACCOUNT: F2; F3; F4;
      DEPOSIT: F5:
      WITHDRAWAL: F6;
 end:
 go to start
end.
```

First, we declare global variables, which can be referenced in any of the frames. Subframe R will be used in frame F4 (Fig. 6) to return control to frame F1; selecting the RETURN option would terminate the dialogue in frame F4, whereby the program would then cause frame F1 to be presented.

Subframe heading is used to display text at the top of the screen in frames F2 and F3. It consists of d1, which is also used in frame F4, and display item inf.

• Definition of frames

Frame F1 is shown in Fig. 3. It is defined as follows:

```
frame FI;
  contains title at (0,17) intensity high;
           al at (2,2);
  rules terminate if al.s
  end
endframe
```

This frame contains two global items: display item title and attention item a1, which is used to select the desired function to be performed. The case statement in the program is used to present the next frame, depending on the option selected.

Frame F2 is shown in Fig. 4.

```
BANK OF NEW YORK

NEW ACCOUNT

Enter information. Hit ENTER when done.
NAME:
SEL. SERVICE NO.: SEX: *MALE
*FEMALE
NO. CHILDREN:
SALARY:
SPOUSE'S SALARY:
```

Figure 5 Frame F3.

```
BANK OF NEW YORK

NEW ACCOUNT

NAME:

The new account No. is:

*RETURN
```

Figure 6 Frame F4.

```
frame F2:
  var addrl: key-in char at (0,19)
             text 'PERMANENT ADDRESS:';
     d3: display text 'MAILING ADDRESS -';
     ml: menu set of (YES, NO) at (0,16)
          text 'Same as above?';
     addr2: key-in char at (0,15) text
             'ENTER ADDRESS:';
  contains title at (0,17); heading; name at (5,3);
          addr1 at (6,3); d3 at (7,3); m1 at (7,21);
          addr2 at (8.6); status at (10.3);
  rules require name;
       require at least 1 of addr1, addr2;
       require addr1 if YES in m1;
       require addr2 if No in m1;
       with ml: allow only 1 options;
       exclude addr2 if YES in m1;
       with status: allow only 1 options;
       terminate if ENT_KEY
  end:
  procedure initialize;
  begin reset\_frame; ml := [YES]
  end
endframe
```

The global items *title*, *name*, and *status* and the subframe *heading* are included in this frame. One of the two addresses must be supplied, depending on whether YES or No is selected. If neither option is in m1, then it is valid to enter either address or both. Actually, the rule **require** *addr1* is superfluous because it can be inferred from the other rules.

Upon entering the frame and before the frame is presented to the user, the procedure $reset_frame$ causes the status of all items in F2 to be set to unselected. Then, the item m1 is initialized to [YES]. We have not defined the procedure $reset_frame$ here; this could be a "built-in" procedure provided by the implementation.

Frame F3 is shown in Fig. 5.

```
frame F3:
  var sel_ser: key-in integer at (0,18)
              text 'SEL. SERVICE NO.:' format 19;
     sex: menu set of (MALE, FEMALE) at (0.6) text 'SEX:';
      nchild: key-in integer at (0,14)
              text 'NO. CHILDREN:' format 110;
      salary: kev-in real at (0.8) text 'SALARY:'
              format F20;
      salary2: key-in real at (0,17)
               text 'SPOUSE''S SALARY:' format F15;
  contains title at (0,17); heading; name at (5,3);
           sel_ser at (6,3); sex at (6,31); nchild at (8,3);
           salary at (9,3); salary2 at (10,3);
  rules with sex: allow only 1 options:
       require sex, salary;
       require nchild \ge 0 if MARRIED in status;
       let name.e be false;
       require sel_ser if MALE in sex;
       exclude sel_ser if FEMALE in sex;
       let salary2.d be MARRIED in status;
       require salary2 if MARRIED in status cand
         salary < 10000;
       terminate if ENT_KEY
  end:
  procedure initialize;
  begin reset_item(sel_ser, sex, nchild, salary, salary2)
  procedure terminate:
  begin accno := new\_acc; file\_acc
  end
endframe
```

This frame is very similar to the example shown earlier in Fig. 1. As in frame F2, the items title and heading are included here. The items status and accno are referenced here but will not be displayed to the user. The item name contains the information supplied by the user in the previous frame, but it cannot be changed here. Initially, the procedure reset_item (not defined here) sets the status of all key-in and menu items (except name) to unselected.

The relations among the items are expressed by the behavior rules. For example, selective service number is only required from male applicants. To determine loan eligibility, the bank wants to know the spouse's salary if

the applicant's salary is less than \$10 000. And salary2 makes no sense if the applicant is not married; therefore, salary2 only appears on the screen if MARRIED was selected in frame F2. When all these relations are satisfied, the user can depress the ENTER key, which causes a new account to be produced and filed by the local procedures new_acc and $file_acc$ (not defined here).

Frame F4 is shown in Fig. 6.

frame F4;

contains title at (0,17); d1 at (2,19); name at (7,3); accno at (9,3); R at (12,41); rules let name.e be false end

endframe

This frame is used to display the new account number to the user after all specified information has been collected in frames F2 and F3. The item name, which appears in frames F2 and F3, also appears here but at a different location. Selecting the RETURN option causes a return to the program, according to the **terminate** rule specified in subframe R; frame F1 will then be displayed again.

8. Implementation problems

The efficient implementation of behavior rules may be a point of contention about the applicability of this work. In this section, therefore, we briefly discuss implementation problems and give hints at solutions. A more detailed explanation of these problems, and a discussion of techniques and algorithms to solve them, appear in the first author's thesis [11].

To begin with, a set of behavior rules may be inconsistent, and to check for this possibility the compiler should contain a compile-time analysis procedure. For example, suppose that the following rules are given to describe the frame behavior:

- 1. require sel_ser if MALE in sex; ·
- 2. with sex: allow only 1 of MALE, FEMALE;
- 3. **let** sex **be** sex + [FEMALE] **if** $sel_ser.s$

The problem is that selecting the MALE option leads to an inconsistency. Another situation that must be detected is when a termination condition can never occur or would lead to an inconsistency.

These kinds of problems can be analyzed with a graph model of interactions. A graph representation of the above rules is illustrated in Fig. 7, where the nodes of the graph represent items and options, and the edges represent constraints and dependencies. Associated with each node x is a possible status property ps, as follows:

If node(x).ps = 1, then x must be selected;

If node(x).ps = 0, then x cannot be selected;

If node(x).ps = 2, then x can be in either status.

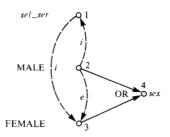


Figure 7 Graph representation of behavior rules.

Shown in the graph are implication links (labeled i) and exclusion links (labeled e), which express relations between the possible status of nodes. The graph is constructed as the rules are parsed. For example, the first rule above produces the link $2 \xrightarrow{i} 1$. A rule such as **require** x does not produce a link; it simply causes the possible status of node x to be set to 1. The specification of

require x; exclude x

would result in the inconsistency node(x).ps = 1 and node(x).ps = 0.

It is not always necessary to test conditions after every user action. Here, the graph model also helps, since it is possible to determine which user inputs have an effect on each system response (such as the display of a message or the termination of the dialogue). Furthermore, certain combinations of user's inputs are irrelevant in the sense that, although they may occur, they cause no change in the observable state of the system. A run-time procedure that takes advantage of these observations to implement behavior rules efficiently is contained in [11].

9. Summary

We have presented extensions to PASCAL to provide a language for programming alphanumeric user-computer dialogues. In this language, interactive behavior rules can be defined nonprocedurally by an application programmer, leaving the task of checking for inconsistencies and setting up the control logic to the system. This type of language, therefore, can reduce much complicated coding of interactive applications.

The extensions were designed to be natural and to blend well with the concepts and syntax of PASCAL. We feel that, in general, block-structured languages with flexible data-type definition facilities are good candidates for the embedding of interactive behavior specifications.

We saw, for example, how items were defined with a simple extension of PASCAL types, how subframes were simple extensions of PASCAL records, and how frames were defined in a way similar to PASCAL procedures. We

	WEEKLY PAYROLL	
EMPLOYEE NAME	HOURS WORKED	PAY
F.W. SMITH J.M. ROBERTS A.L. ANDERSO		

Figure 8 A table item.

also saw how the scope rules for variables in blocks and procedures extended very nicely to items in frames and subframes.

It is, of course, possible to extend other languages to allow the specification of interactive dialogues, but the extensions may not be as elegant. A possible way to extend COBOL is discussed in [11].

In the description of our language, we deliberately omitted some language features for the sake of simplicity. For example, in a real implementation, the language may be extended to contain abbreviations, more item and frame attributes, and more functions to manipulate table items. Also, a graphics protocol could be designed to lay out the information on the frames interactively.

Appendix A: Table item

High level programming languages normally contain data constructs such as arrays, structures, and records, which are built up from the basic data types (integer, real, character, etc.). Similarly, our language contains a structured type of item called a *table* item, which is made up of the basic items that we have considered so far. The table item allows the programmer to define a two-dimensional structure of values, with text, position, and other characteristics—the number of rows to be displayed, whether the user is to select rows or enter values in a column, etc.

A table item may be viewed as a set of *columns*; each column is defined very much like a key-in or display item (depending on whether or not the user is permitted to enter values into the column), having attributes such as position, format, initial value, etc. The programmer can also specify that the rows of the table are to behave as a menu or as an attention item. Behavior rules can then be specified to describe selection constraints and relations among the rows or columns of the table.

An example of a table item is shown in Fig. 8. Let us assume that the values contained in the first column can be obtained from a global table emp_tab , which was used by an authorized person to specify the employees' names.

We then include this column in our new table and add two more columns. When this table is displayed, a clerk is to enter the hours worked each week, and the program will then compute the pay. The table can be defined as follows:

```
var pay_tab: table (20) text 'WEEKLY PAYROLL' at (0,9)
columns

hrs: key-in integer at (5,18)
text 'HOURS' at (-3,-2), 'WORKED'
initial 0 format 12;
pay: display real at (5,25)
text 'PAY' at (-2,1) initial 0 format F6.2;
contains emp_tab.name at (5,2)
end
rows (10) attention
```

This defines a table item $pay_{-}tab$ having a maximum of 20 rows; of these, only 10 rows can be seen by the user. The rows are to behave as an attention item (having 10 options). To specify the behavior of this table, we give behavior rules for the containing frame:

```
let pay\_tab.name.e be false;
require hrs \le 40;
let pay be hrs * rate
```

The first rule prevents the user from changing the values in the *name* column. The pay is computed automatically by multiplying the hours worked (which cannot exceed 40) by the global variable *rate*.

Thus, a table item may be used for displaying or updating information, depending on the \(\lambda tem kind \rangle\) specified for the columns (and their status properties). If used for updating information, then, at any time during the presentation of the containing frame, one of the rows may be designated as the current row. Each value in the current row behaves as a display or key-in item, according to the \(\lambda tem kind \rangle\) specified for the corresponding column. Only the current row can be acted on by the user.

The programmer can manipulate the information in the table item, change the status properties of the columns, select rows of the table, or designate another row as the current row. For this we need some notation.

Notation If T is a table item, the rows are denoted by $T[1], T[2], \cdots$, and, in particular, $T.current_row$ is the current row. The variable $T.current_index$ is the current-row index, i.e., $T.current_row$ is equivalent to $T[T.current_index]$. To reference elements in each row, we use PASCAL record notation; thus,

T[i].x =the value at row i, column named x.

Now, the table item T itself has a value, which is the value that results from treating the rows as a menu or attention item. For example, if **menu** is specified for the

rows of T and rows 1 and 3 are the only selected rows, then the value of T is the set $\{1, 3\}$, T.s and 1 in T are true.

To illustrate, suppose that the user can enter the "hours worked" in any of the rows of the item pay_tab defined above. But, prior to entering the information, he must indicate which row he wishes to act on by pointing to it with the light pen. This can be accomplished by adding the behavior rule

```
let pay_tab.current_index be pay_tab
```

which specifies that the value of the table item (the selected row) is to be assigned to the variable pay_tab . $current_index$.

Alternatively, we could define key number 1 as the "next key," so that pressing key 1 would compute the pay for the current row and place the cursor at the next row. This can be programmed as follows:

More flexibility may be needed to manipulate rows of a table item. For example, the following built-in procedures would be helpful:

```
nrows(T) gives the number of rows defined so far, delete(T, i) deletes row i from the table, insert(T, i) inserts a row (of undefined values) after row i.
```

Moreover, in order to increase the usefulness of table items, the language should provide a mechanism for associating external files with a table item, and it should provide operators for transferring data. We explore these ideas briefly in the next section.

• Associating a file with a table item

We are particularly interested in sequential files of type record. We call these structured sequential files and define them as follows:

```
\langle structured\ sequential\ file \rangle ::= file\ of\ \langle record\ type \rangle
```

Exactly how the components are allocated in external storage is implementation-dependent.

By specifying the attribute **file** (input or output) in the declaration of a table item, the programmer establishes an

association between the table item T and records of the specified file. In this case, we say that the table item is *bound* to the file.

What we wish to accomplish is, in fact, a generalization of the binding of files to key-in or display items, which was discussed earlier. In this case, a table item T bound to a file f would be regarded as a work area into which one could read records from f (if f is an input file) or write records to f (if f is an output file). Superimposed on this work area is a window through which the user can view the area. The size of this window, say w, is specified in the row specification part of the declaration of T, and represents the number of rows that the user sees. Let p be the current number of rows and s the size of the table (maximum number of rows). The programmer can manipulate values in rows T[1], T[2], \cdots , T[p], while the user only has access to w or p rows, whichever is smaller.

The implementation may provide facilities for scrolling, for reading (writing) records from (to) a file, and for associating the file information with the table item. The operators for reading and writing would be generalizations of the PASCAL operators get(f) and put(f). We omit the details and refer the reader to [11] for more information.

Appendix B: Syntax

We present here a summary of our syntactic extensions to PASCAL.

```
\langle frame\ definition\ part \rangle ::= \langle frame\ definition \rangle
                                                {; \( frame \) definition\\};
\langle frame\ definition \rangle ::= \mathbf{frame}\ \langle id \rangle : \langle frame\ body \rangle \ \mathbf{endframe}
\langle frame\ body \rangle ::= \langle label\ declaration\ part \rangle
                               (constant definition part)
                               (type definition part)
                               (variable declaration part)
                               (item inclusion part)
                               (behavior rules)
                               (procedure declaration part)
\langle item\ type \rangle ::= \langle item\ kind \rangle [\langle type \rangle [at (\langle row \rangle, \langle col \rangle)]]
                           \langle attribute\ specification \rangle\ |\ \langle table\ item\ type \rangle
\langle item \ kind \rangle ::= display | key-in | menu | attention
\langle attribute\ specification \rangle ::= [\langle text\ specification \rangle]
                                                 {\langle item attribute \rangle}
\langle text \ specification \rangle ::= text \langle string \rangle [at (\langle row \rangle, \langle col \rangle)]
                                         \{, \langle string \rangle [\mathbf{at} (\langle row \rangle, \langle col \rangle)] \}
\langle type \rangle ::= \langle PASCAL\ type \rangle \mid \langle subrange\ set \rangle \mid \langle value\ set \rangle
\langle PASCAL \, type \rangle ::= a \, PASCAL \, standard \, type, \, scalar \, type, \, or
                                set type
\langle subrange\ set \rangle ::= \langle constant \rangle ... \langle constant \rangle
                                 {, (constant) .. (constant)}
\langle value\ set \rangle ::= (\langle constant \rangle \{, \langle constant \rangle \})
\langle table\ item\ type \rangle ::= table\ (\langle size \rangle)
                                     (attribute specification)
                                     (column specification part)
                                     (row specification part)
```

```
\langle column\ specification\ part \rangle ::= columns \{\langle id \rangle: \langle item\ type \rangle;\}
                                                        [(item inclusion part)] end
\langle row \ specification \ part \rangle ::= rows \ (\langle size \rangle) \ [\langle row \ kind \rangle]
\langle row \ kind \rangle ::= menu \mid attention
\langle subframe\ type \rangle ::= subframe\ \{\langle id \rangle: \langle type1 \rangle;\}
                                     [(item inclusion part)]
                                     [(behavior rules)] end
\langle type1 \rangle ::= \langle subframe \ type \rangle \mid \langle item \ type \rangle
\langle item\ inclusion\ part \rangle ::= contains \langle item\ specification \rangle
                                              {; \(\int item specification\)}
\langle item\ specification \rangle ::= \langle id \rangle \{, \langle id \rangle \} \{ \langle frame\ attribute \rangle \}
\langle frame \ attribute \rangle ::= \mathbf{at} \ (\langle row \rangle, \langle col \rangle)
                                         intensity high
                                         format \( format \( specification \) \)
                                       | format msg \( \string \)
\langle behavior\ rules \rangle ::= rules \langle rule \rangle \{; \langle rule \rangle \} end
\langle rule \rangle ::= \langle requirement \ rule \rangle \mid \langle binding \ rule \rangle
                  | \langle terminate rule \rangle | \langle enter rule \rangle
\langle requirement \ rule \rangle ::= require \langle condition I \rangle [if \langle condition \rangle]
\langle condition1 \rangle ::= \langle condition \rangle \mid \langle name\ part \rangle
\langle name\ part \rangle ::= \langle item\ name \rangle \{, \langle item\ name \rangle \}
\langle binding\ rule \rangle ::= let\ \langle variable \rangle\ be\ \langle expression \rangle
                                 [if (condition)]
\langle terminate \ rule \rangle ::= terminate \ if \langle condition \rangle
 \langle enter\ rule \rangle ::= enter \langle id \rangle if \langle condition \rangle
\langle condition \rangle ::= \langle basic\ condition \rangle
                             (condition) cor (basic condition)
\langle basic\ condition \rangle ::= \langle logical\ expr \rangle \mid \langle basic\ condition \rangle
                                       cand (logical expr)
\langle logical \ expr \rangle := a \ logical \ expression
                                 | ((condition)) | ENT_KEY
```

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